

Memorandum **TAB 35**

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: March 21–22, 2018

Reference No.: 3.3 – **REPLACEMENT ITEM**
Information Item

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Chief Financial Officer

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Programming

Subject: **STATUS OF PRECONSTRUCTION SUPPORT ALLOCATIONS FOR SHOPP PROJECTS
PER THE TIMELY USE FUNDS POLICY**

SUMMARY:

The California Department of Transportation (Department) is presenting this item on the status of preconstruction support phases for State Highway Operation and Protection Program (SHOPP) allocated since June 2017. The preconstruction support phases are Project Approval and Environmental Document (PA&ED), Plans, Specifications and Estimate (PS&E) and Right-of-Way Support (R/W Sup).

In Fiscal Year (FY) 2016-17, the California Transportation Commission (Commission) allocated 1,094 SHOPP preconstruction support phases. As of February 14, 2018, 1,052 phases have expenditures. Fourteen phases were identified in the previous report as not incurring expenditures, were lapsed and were removed from this report. Nine phases were identified during the development of this report as not incurring expenditures, and will be considered lapsed, and will be removed from the next report in May 2018.

In FY 2017-18, the Commission allocated 483 SHOPP preconstruction support phases. As of February 14, 2018, 347 projects have expenditures. One phase was identified during the development of this report as not incurring expenditures, and will be considered lapsed, and will be removed from the next report in May 2018. The attachment reflects those phases allocated and that have reached the 4-month milestone but have not begun to incur expenditures; this applies to phases allocated in August 2017. Per the Interim SHOPP Guidelines, any phases allocated need to begin incurring expenditures within six months.

BACKGROUND:

The passage of the Road Repair and Accountability Act (Senate Bill 1) necessitates that the Department and the Commission establish baseline budgets for each preconstruction support phase of each project in the 2016 State Highway Operation and Protection Program (SHOPP). Government Code Section 14526.5(g) formalizes the condition of allocation for preconstruction support phases on or after July 1, 2017 for all SHOPP projects. The Interim SHOPP Guidelines developed by the Commission Staff, in partnership with the Department, and adopted by the Commission at the June 2017 meeting, requires that expenditures allocated for SHOPP projects for preconstruction support phases begin accruing expenditures within six months of the date of allocation by the Commission. The policy also requires that preconstruction phases that have not begun expending within four months of allocation be reported to the Commission.

FY 2016-17 Allocations

Month Allocated	Preconstruction Support Phase	Number of Support Phases Voted	Voted Phases \$ x 1000	Voted Phases Started \$ x 1000	Number of Phases Pending or Approved Time Ext.	Number of Phases Started within 4 months	Number of Phases Started within 6 months	Number of Phases Lapsed
	PA&ED	391	\$511,018	\$508,183	0	385	387	4
Jun-17	PS&E	353	\$547,000	\$533,102	5	329	342	6
	R/W Sup	350	\$114,219	\$108,210	4	265	323	23
FY 16-17 Total		1,094	\$1,172,237	\$1,149,495	9	979	1,052	33

FY 2017-18 Allocations

Month Allocated	Preconstruction Support Phase	Number of Support Phases Voted	Voted Phases \$ x 1000	Voted Phases Started \$ x 1000	Number of Phases Pending or Approved Time Ext.	Number of Phases Started within 4 months	Number of Phases Started within 6 months	Number of Phases Lapsed
	PA&ED	52	\$51,222	\$51,068	0	51	51	1
Aug-17	PS&E	60	\$75,748	\$73,540	2	56	58	0
	R/W Sup	56	\$14,720	\$14,225	3	32	52	1
August 2017 Total		168	\$141,690	\$138,833	5	139	161	2
	PA&ED	108	\$178,185	\$174,205	6	102	102	0
Oct-17	PS&E	41	\$33,982	\$28,265	5	36	36	0
	R/W Sup	39	\$11,317	\$8,487	9	30	30	0
October 2017 Total		188	\$223,484	\$210,957	20	168	168	0
	PA&ED	11	\$20,103	\$3,775	9	2	2	0
Dec-17	PS&E	32	\$40,068	\$12,066	24	8	8	0
	R/W Sup	24	\$3,198	\$503	18	6	6	0
December 2017 Total		67	\$63,369	\$16,344	51	16	16	0
	PA&ED	5	\$3,635	\$0	5	0	0	0
Jan-18	PS&E	31	\$41,219	\$11,058	29	2	2	0
	R/W Sup	24	\$2,385	\$0	24	0	0	0
January 2018 Total		60	\$47,239	\$11,058	58	2	2	0
FY 17-18 Total		483	\$475,782	\$377,192	134	325	347	2

Attachment

Voted Not Expended Project Status
FY 16-17 Pre-Construction Allocations for SHOPP Projects

Project No.	Dist-PPNO	EA	Work Description	Phase	Allocation Date	Expend. Deadline	Allocation Amount	Project Status
1	01-2302	0A120	In Humboldt County on Routes 96 and 101 at various locations. Seismic retrofit 3 bridges.	PS&E	06/28/2017	12/31/2017	\$ 1,856,000	Phase allocation has lapsed.
2	03-3290	4E620	Near Placerville and Camino, from 0.2 mile west of Still Meadows Road to 0.4 mile east of Upper Carson Road. Install median barrier, widen shoulders, construct acceleration/deceleration lane, construct an undercrossing, and construct access to the undercrossing from local roads.	PS&E	06/28/2017	12/31/2017	\$ 3,500,000	A nine-month time extension was approved at the January 2018 CTC Meeting.
3	03-4450	3F680	In Grass Valley, from Park Street to Route 20. Upgrade pedestrian infrastructure to meet Americans with Disabilities Act (ADA) requirements.	PS&E	06/28/2017	12/31/2017	\$ 950,000	Phase allocation has lapsed.
4	03-5113	0H460	In Roseville, at the Atlantic Street/Eureka Road westbound on-ramp. Install ramp meters and widen ramp for storage capacity. (G13 Contingency Project)	PS&E	06/28/2017	12/31/2017	\$ 850,000	A nine-month time extension was approved at the January 2018 CTC Meeting.
5	03-6916	3F540	On Route 99, at South Lagoon Creek Bridge No. 24 -0028L, Lagoon Creek Bridge No. 24-0045L (PM 5.0), and North Lagoon Creek Bridge No. 24-0027L (PM 5.1); also on Route 160 (PM R44.5) at American River Bridge No. 24-0001L. Scour mitigation and bridge replacement.	PS&E	06/28/2017	12/31/2017	\$ 2,000,000	A nine-month time extension was approved at the January 2018 CTC Meeting.
6	07-4588	29530	In cities of Los Angeles, South Pasadena, and Pasadena, from West Sunset Boulevard to East Glenarm Street. Enhance highway worker safety by installing Maintenance Vehicle Pullouts, access gates and other measures.	PS&E	06/28/2017	12/31/2017	\$ 457,000	PS&E completed under another PPNO.
7	07-4810	31100	In Pasadena, at the Route 210/134/710 Interchange. Roadside safety improvements.	PS&E	06/28/2017	12/31/2017	\$ 900,000	A eight-month time extension was approved at the January 2018 CTC Meeting.

Voted Not Expended Project Status

FY 16-17 Pre-Construction Allocations for SHOPP Projects

Project No.	Dist-PPNO	EA	Work Description	Phase	Allocation Date	Expend. Deadline	Allocation Amount	Project Status
8	07-4893	31640	In and near Downey, from Garfield Avenue to east of Woodruff Avenue. Roadside safety improvements.	PS&E	06/28/2017	12/31/2017	\$ 1,000,000	Phase allocation has lapsed.
9	09-0653	36340	Near Shoshone, from east of Route 127 to Chicago Valley Road. Replace and install culverts.	PS&E	06/28/2017	12/31/2017	\$ 620,000	A six-month time extension was approved at the January 2018 CTC Meeting.
10	09-0658	36470	Near Bridgeport, from Conway Ranch Road to Route 270. Upgrade guardrail to meet current standards.	PS&E	06/28/2017	12/31/2017	\$ 1,054,000	Phase allocation has lapsed.
PS&E Phase - 10 Projects								
11	03-3290	4E620	Near Placerville and Camino, from 0.2 mile west of Still Meadows Road to 0.4 mile east of Upper Carson Road. Install median barrier, widen shoulders, construct acceleration/deceleration lane, construct an undercrossing, and construct access to the undercrossing from local roads.	R/W Supp	06/28/2017	12/31/2017	\$ 1,200,000	A nine-month time extension was approved at the January 2018 CTC Meeting.
12	03-4450	3F680	In Grass Valley, from Park Street to Route 20. Upgrade pedestrian infrastructure to meet Americans with Disabilities Act (ADA) requirements.	R/W Supp	06/28/2017	12/31/2017	\$ 730,000	Phase allocation has lapsed.
13	03-5113	0H460	In Roseville, at the Atlantic Street/Eureka Road westbound on-ramp. Install ramp meters and widen ramp for storage capacity. (G13 Contingency Project)	R/W Supp	06/28/2017	12/31/2017	\$ 130,000	A nine-month time extension was approved at the January 2018 CTC Meeting.
14	04-0738	0G680	Near Schellville, from north of Tolay Creek Bridge to south of Yellow Creek Bridge. Widen for standard shoulders, upgrade curves to standard, and install rumble strips.	R/W Supp	06/28/2017	12/31/2017	\$ 1,800,000	A eight-month time extension was approved at the January 2018 CTC Meeting.
15	07-4691	30300	In the city of Los Angeles, on Venice Boulevard, from Lincoln Boulevard to Route 10. Rehabilitate pavement.	R/W Supp	06/28/2017	12/31/2017	\$ 5,000	Phase allocation has lapsed.
16	09-0653	36340	Near Shoshone, from east of Route 127 to Chicago Valley Road. Replace and install culverts.	R/W Supp	06/28/2017	12/31/2017	\$ 150,000	A nine-month time extension was approved at the January 2018 CTC Meeting.

Voted Not Expended Project Status

FY 16-17 Pre-Construction Allocations for SHOPP Projects

Project No.	Dist-PPNO	EA	Work Description	Phase	Allocation Date	Expend. Deadline	Allocation Amount	Project Status
17	09-0657	36590	In and near Lone Pine, from 1.2 miles south of Route 136 to East Inyo Street; also on Route 136 at the Route 395 intersection (PM 0.0/0.1). Pavement rehabilitation.	R/W Supp	06/28/2017	12/31/2017	\$ 220,000	Phase allocation has lapsed.
18	09-0658	36470	Near Bridgeport, from Conway Ranch Road to Route 270. Upgrade guardrail to meet current standards.	R/W Supp	06/28/2017	12/31/2017	\$ 2,000	Phase allocation has lapsed.
R/W Supp - 8 Projects								

Voted Not Expended Project Status

FY 17-18 Pre-Construction Allocations for SHOPP Projects

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Project No.	Dist-PPNO	EA	Work Description	Phase	Allocation Date	Expend. Deadline	Allocation Amount	Project Status
1	08-3002L	1F410	Near Desert Center, at Palen Ditch Bridge No. 56-0040 R/L. Bridge rail replacement.	PS&E	08/15/2017	02/28/2018	\$ 393,000	More time needed to start PS&E. The Department is requesting a time extension at the March 2018 CTC Meeting.
2	08-3003P	1F590	In Hemet, from Warren Avenue to Soboba Street. Construct and upgrade pedestrian facilities to current Americans with Disability Act standards.	PS&E	08/15/2017	02/28/2018	\$ 1,815,000	More time needed to start PS&E. The Department is requesting a time extension at the March 2018 CTC Meeting.
PS&E Phase - 2 Projects								
3	07-5247	34040	In Los Angeles County, on various routes and at various locations. Repair and Rehabilitate Ramp Metering Systems (RMS) and Vehicle Detection Systems (VDS).	R/W Supp	08/15/2017	02/28/2018	\$ 18,000	R/W Support completed under another PPNO.
4	08-3002L	1F410	Near Desert Center, at Palen Ditch Bridge No. 56-0040 R/L. Bridge rail replacement.	R/W Supp	08/15/2017	02/28/2018	\$ 10,000	More time needed to start R/W Support. The Department is requesting a time extension at the March 2018 CTC Meeting.
5	08-3003P	1F590	In Hemet, from Warren Avenue to Soboba Street. Construct and upgrade pedestrian facilities to current Americans with Disability Act standards.	R/W Supp	08/15/2017	02/28/2018	\$ 467,000	More time needed to start R/W Support. The Department is requesting a time extension at the March 2018 CTC Meeting.
R/W Supp - 3 Projects								