

# Memorandum

**TAB 68**

To: CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: May 23, 2012

Reference No.: 3.6  
Action Item

From: NORMA ORTEGA  
Chief Financial Officer

Prepared by: Kurt Scherzinger  
Acting Division Chief  
Transportation Programming

Subject: **STATUS UPDATE ON STATE ROUTE 99 (SR 99) PROJECTS**

## **SUMMARY:**

The California Department of Transportation (Department) is providing an update on the State Route 99 (SR 99) projects remaining to be delivered and not yet allocated by the California Transportation Commission (Commission). The Department has performed an assessment on each project, and identified critical issues and then assigned a risk level.

As of May 2012, there are six SR 99 projects totaling approximately \$210 million of bond funds yet to be allocated by the Commission. Of the remaining six projects yet to be allocated, three are considered to have a medium risk for delivery and three are considered low risk. A risk assessment summary for each project is also included.

## **BACKGROUND:**

The Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006 passed by the voters in November 2006 included \$1.0 billion for the State Route 99 Corridor. The Commission adopted guidance stating the SR 99 projects shall be awarded not later than December 31, 2012. The attached is a status update for the remaining \$210 million yet to be allocated.

#	Risk	Co	Rte	Project Title	CTC Meeting Allocation
1	Medium Low	SUT	99	State Route 99/113 Interchange	Jun-12
2	Medium High	MAD	99	Ave 12 Interchange	Jun-12
3	Low	TUL	99	Goshen to Kingsburg Landscape	Jul-12
4	Medium Low	TUL	99	Tulare to Goshen 6-Lane	June-12
5	Low	SJ	99	Manteca Phase 3	Jun-12
6	Low	SJ	99	SR 99 (South Stockton) Widening	Jun-12

Definition of Risk

Low  - Projects within this category contain no known issues that will affect delivery.

Medium Low  - Projects within this category have identified delivery challenges that could still affect on-time delivery. Projects within this group are being actively managed to stay on approved schedules.

Medium High  - Projects within this category have numerous challenges. Projects are being aggressively managed to stay on the approved schedule.

High  - Without change, these projects cannot be delivered and funded consistent with the program requirements.

### SR99 Program Assessment

The Department has performed a project by project review to assess projects and identify critical items that remain to deliver each project and the level of risk associated with the remaining projects. The items have been listed here for Commission information and identified as either as right of way, environmental permitting, or design related risk. "Risk" is a judgment call at a point in time based on known issues. These issues have the ability to be resolved quickly or to turn into lengthy negotiations, and project risks change over time.

#### CALTRANS DISTRICT 3

##### SUTTER COUNTY SR 99/113 Interchange

Allocation June                      Delivery Agency Caltrans                      Con Bond                      \$15,205                      Total Con \$15,205

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	6/1/12	RWC#2	6/11/12	Apv End Design Date	6/1/12	6/22/12	Completed	
Overall Risk: ♦ Medium Low		Critical Items: Risk is related to the late delivery schedule.						

#### CALTRANS DISTRICT 6

##### MADERA COUNTY Ave 12 Interchange

Allocation June                      Delivery Agency Caltrans                      Con Bond                      \$43,600                      Total Con \$50,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	7/1/12	RWC#3W	6/1/12	Apv End Design Date	5/1/12	5/1/12	F&G	6/1/12
7 residential, 10 commercial parcels		6/1/12	C&M agreement		6/1/12	RWQCB		4/1/12 (A)
Unknown if need for RR possible 2 RONS		6/1/12	Freeway Agreement resolution of change		3/20/12 (A)			
8 utility agreements.		6/1/12						
Medium High ● Medium Low Critical Items: Risk is associated with securing necessary right of way and permits. Railroad agreements are in Omaha for approval and processing. There is a high risk if the remaining parcels required for the RR agreements result in needing a RON.								

**TULARE COUNTY**

**Goshen to Kingsburg Landscape**

Allocation July Delivery Agency Caltrans Con Bond \$5,000 Total Con \$5,000

RW Risks			Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	8/1/13	RWC#2	4/10/12 (A)	Apv End Design Date	9/16/13	6/7/12	None		
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: No known issues.									

**Tulare to Goshen 6 Lane**

Allocation June Delivery Agency Caltrans Con Bond \$40,000 Total Con \$40,000

RW Risks			Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	4/20/12	RWC#3W	5/25/12	Apv End Design Date	5/3/12	6/3/12	F&G, RWQCB	4/24/12 (A)	
1 utility agreement			5/25/12	Design Exception		2/16/12 (A)	BO amendment	4/6/12 (A)	
RR C&M Agmt			5/25/12	Longitudinal utility exception approval.		5/20/12			
Overall Risk: <input type="checkbox"/> Medium Low Critical Items: Risk is associated with obtaining permits.									

**CALTRANS DISTRICT 10**

**SAN JOAQUIN COUNTY**

**Manteca Phase 3**

Allocation June Delivery Agency SJCOG Con Bond \$6,010 Total Con \$85,471

RW Risks			Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	3/1/12	RWC# 3W	4/30/12 (A)	Apv End Design Date	7/2/12	6/2/12	Rec'd with prior segments		
Overall Risk: Low <input checked="" type="checkbox"/> Critical Items: Risk is in the efforts to accelerate delivery.									

**South Stockton Widening**

Allocation June Delivery Agency Caltrans Con Bond \$101,100 Total Con \$154,000

RW Risks			Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	3/1/12	RWC#3W	4/12/12 (A)	Apv End Design Date	2/1/12	5/30/12	ACOE	11/2/11 (A)	
All RONS			Completed 2/25/12				F&G	12/28/11 (A)	
							RWQCB	2/15/12 (A)	
							CVFP	5/25/12	
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Risk is associated with obtaining a permit from flood protection board, hearing scheduled for May. Received railroad clearance letter for cert 3W.									

Dollars are in \$1,000's.