

## MEMORANDUM

To: CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: March 25, 2020

From: STEVEN KECK, Chief Financial Officer

Reference Number: 2.5e.(3), Action Item

Prepared By: Tony Tavares,  
District 04 – Director

Subject: **SUPPLEMENTAL FUNDS FOR CAPITAL OUTLAY SUPPORT PHASE  
(PPNO 0124N/EA 2G461 – ALAMEDA COUNTY – STATE ROUTE 13)  
RESOLUTION FA-19-31**

### **ISSUE:**

Should the California Transportation Commission (Commission) approve the California Department of Transportation's (Department) request for an additional \$1,190,000 in Capital Outlay Support (COS), for the State Highway Operation and Protection Program (SHOPP) Americans with Disabilities Act (ADA), Pedestrian Infrastructure project on State Route (SR) 13 in Alameda County, to complete the Project Plans, Specifications and Estimate (PS&E), and the Right of Way (RW) project phases?

### **RECOMMENDATION:**

The Department recommends that the Commission approve this request for a COS supplemental funds allocation for this SHOPP project.

### **PROJECT DESCRIPTION:**

This project is located on SR 13, in and near the City of Berkeley, in Alameda County. The project will upgrade curb ramps and repair sidewalks to meet ADA standards.

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to enhance California’s economy and livability”*

**FUNDING AND PROGRAMMING:**

This is a “Child” project that was split from a “Parent” project in 2019 to allow the Department adequate time to resolve difficult utility relocation that impacted the project delivery time frame. The support costs for the “Child” project pre-construction phases were not funded at the time of the split.

This Child project is now programmed for delivery in 2022, and the Department is requesting additional funds for PS&E and RW support costs in the amount of \$780,000 and \$410,000 respectively, to start the preparation of and to complete the construction contract documents by the planned delivery date of June 2022.

**REASON FOR COST INCREASE:**

The project was split in a SHOPP Amendment approved by the Commission in June 2019 and included scope, cost and schedule changes. The issues that led to the project split were recognized during development of the PS&E for the Parent project shortly after the PS&E allocation. Because PS&E and RW support of the “Parent” project were already allocated and SHOPP guidelines do not allow splitting afterwards, the PS&E and RW support for the “Child” project must be addressed as a supplemental funds request after the Commission approved the split. Only future unallocated phases of the “Child” project were programmed.

The SHOPP Amendment increased the ADA curb ramp locations to 54 from 47 and split 22 locations to the “Child” project which involve difficult utility relocation. There are electric poles, pole boxes, fire hydrants, and other utility vaults and devices in close proximity to each of the curb ramp locations.

The Risk Management Plan for the “Parent” project did identify the potential utility conflicts and relocations. Mitigation strategies included avoidance or relocation aerially. The split approach allowed for time to coordinate and develop relocation plans.

**CONSEQUENCES:**

Without the approval of these supplemental funds, the Department will not be able to complete the PS&E and RW phases to deliver this Child project contract documents. ADA is a mandated program and delay of this ADA Pedestrian Infrastructure project will likely result in higher future costs, extended ADA non-compliance, and potential liability.

**FINANCIAL RESOLUTION:**

Resolved, that \$1,190,000 be allocated from a Non-Budget Act Item, to provide funds for the pre-construction PS&E and RW phases for this SHOPP project.

Attachment

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2.5 Highway Financial Matters

Project #	Allocation Amount	Recipient	County	Dist-Co-Rte	Postmile	Location	Project Description	PPNO Program Phase	Program Code	Project ID	Adv Phase	EA	Original Amount	This Supplemental Request
<b>2.5e.(3) COS Supplemental Funds for Previously Voted Project Resolution FA-19-31</b>														
1	\$1,190,000	Department of Transportation	Alameda	04-ALA-13	10.7/13.0	In Berkeley, from Domingo Avenue to Mabel Street.	Outcome/Output: Upgrade curb ramps and repair sidewalks to meet American with Disabilities Act (ADA) standards.	04-0124N	SHOPP				\$0	\$780,000
								PS&E	20.20.201.378	0419000091	1,2		\$0	\$410,000