

## MEMORANDUM

To: CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: June 24-25, 2020

From: STEVEN KECK, Chief Financial Officer

Reference Number: 3.3, Information Item

Prepared By: Bruce De Terra, Chief  
Division of Transportation Programming

Subject: **STATUS OF THE START TIME OF EXPENDITURES FOR PRECONSTRUCTION SUPPORT PHASES FOR SHOPP PROJECTS PER THE TIMELY USE FUNDS POLICY**

### **SUMMARY:**

The California Department of Transportation (Department) is presenting this Information Item on the status of preconstruction support phases for State Highway Operation and Protection Program (SHOPP) projects. The preconstruction support phases are Project Approval and Environmental Document (PA&ED), Plans, Specifications and Estimate (PS&E) and Right-of-Way Support (R/W Sup).

In 2018-19, the California Transportation Commission (Commission) allocated 640 SHOPP preconstruction support phases. As of May 21, 2020, 632 phases have begun expenditures. Two phases allocated in October 2018 and six phases allocated in March 2019, have been rescinded. Two phases have an approved Time Extension.

In 2019-20, the Commission allocated 407 SHOPP preconstruction support phases. As of May 21, 2020, 308 phases have begun expenditures.

### **BACKGROUND:**

The passage of Senate Bill 1, the Road Repair and Accountability Act, necessitates that the Department and the Commission establish baseline budgets for each preconstruction support phase of each project in the 2016 and 2018 SHOPP. Government Code Section 14526.5(g) formalized the condition of allocation for preconstruction support phases on or after July 1, 2017 for all SHOPP projects.

*“Provide a safe, sustainable, integrated and efficient transportation system to enhance California’s economy and livability”*

The SHOPP Guidelines developed by Commission staff, in partnership with the Department, and adopted by the Commission at the June 2019 meeting, requires that expenditures allocated for SHOPP projects for preconstruction support phases begin accruing expenditures within six months of the date of allocation by the Commission. Attachments 1 and 2 list projects that have been voted by the Commission but have not yet begin expenditures.

Attachments

## Voted Not Expended Project Status

### FY 18-19 Pre Construction Allocations for SHOPP Projects Project Phases with Time Extensions

Reference No.: 3.3

June 24-25, 2020

Attachment 1

No.	Dist EA	PPNO	County-Route	Work Description	Phase	CTC Meeting Date	Allocation Amount (X 1000)	Time Extension Approved	Updated Expenditure Deadline
1	03 2H130	5865	SAC-5	In the City of Sacramento, from south of Broadway to 0.12 mile south of R Street. Highway planting rehabilitation.	PS&E	Mar-2019	\$ 520	Aug-2019	3/31/2021
2	03 2H130	5865	SAC-5	In the City of Sacramento, from south of Broadway to 0.12 mile south of R Street. Highway planting rehabilitation.	R/W	Mar-2019	\$ 80	Aug-2019	3/31/2021

**Voted Not Expended Project Status**  
**FY 18-19 Pre Construction Allocations for SHOPP Projects**  
**6-month Project Status**

Reference No.: 3.3  
 June 24-25, 2020  
 Attachment 2

No.	Dist- EA	PPNO	County- Route	Work Description	Phase	Allocation Date	Expenditure Deadline	Allocation Amount (X 1000)	Project Status
1	05-1H860	2700	SB-101	Near Gaviota, from 1.0 mile south of Gaviota Gorge Tunnel to 0.1 mile north of Nojoqui Creek Bridge. Upgrade guard railing, widen shoulders, place High Friction Surface Treatment (HSFT), construct retaining walls, cold plane pavement, place Hot Mix Asphalt (HMA) and Rubberized Hot Mix Asphalt (RHMA) pavement. (G13 Contingency)	PS&E R/W Sup	01/29/2020	7/31/2020	\$ 2,724	The Department plans to expend by 6/30/20.
2	05-1H310	2674	SB-154	In Santa Ynez, from 0.2 mile east to 0.1 mile west of Edison Street/Baseline Avenue. Construct a roundabout to improve operations and safety.	PS&E R/W Sup	01/29/2020	7/31/2020	\$ 1,858	The project might need a time extension to start expenditure, that will be submitted at the August CTC meeting.
3	06-0U790	6799	KIN-198	Near Hanford, from 0.4 mile east of 10th Avenue Overcrossing to 1.5 miles east of Route 43/198 Separation. Upgrade gore areas, construct Maintenance Vehicle Pullouts (MVPs) and slope paving at bridges.	PS&E R/W Sup	03/25/2020	9/30/2020	\$ 835	The Department plans to expend by 9/30/20.
4	06-0V820	6815	KIN-198	In and near Hanford, from 0.3 mile west of 12th Avenue to 0.5 mile east of Route 43/198 Separation. Electrical upgrade of booster pumps, replace irrigation mainline, valves and valve wiring.	PS&E R/W Sup	03/25/2020	9/30/2020	\$ 642	The Department plans to expend by 9/30/20.
5	07-34320	5296	LA-405	In the city of Los Angeles (Granada Hills, Mission Hills, Sylmar), from Rinaldi Street to Route 5. Permanent restoration of eroded roadway cutslopes, modification of drainage systems, and placement of erosion control measures.	PS&E	03/25/2020	9/30/2020	\$ 2,297	The Department plans to expend by 9/30/20.
6	12-0Q930	1204	ORA-5	In Orange County, on Routes 5, 133, and 241 at various locations. Rehabilitate 25 bridges.	PS&E R/W Sup	03/25/2020	9/30/2020	\$ 2,655	The Department plans to expend by 9/30/20.
7	12-0R200	2530N	ORA-5	In San Clemente, from the San Diego County line to El Camino Real. Pavement rehabilitation and upgrade safety devices.	PS&E	03/25/2020	9/30/2020	\$ 3,442	The Department plans to expend by 9/30/20.
8	07-20490	2681	LA-405	In the city of Sherman Oaks, at southbound onramp from Ventura Boulevard. Widen the onramp to two lanes plus a High Occupancy Vehicle (HOV) lane.	PS&E R/W Sup	03/25/2020	9/30/2020	\$ 2,059	The Department plans to expend by 9/30/20.
9	11-42230	1247	SD-78	In San Diego County, at various locations from 0.1 mile east of Route 78/5 Separation to Route 15/78 Separation. Rehabilitate and replace culverts including invert paving, joint repair grouting, Cured-in-Place Pipeliner (CIPP) and drainage inlet lid repair.	PS&E R/W Sup	03/25/2020	9/30/2020	\$ 2,600	The Department plans to expend by 9/30/20.
10	07-31920	4968	LA-91	In the cities of Compton, Paramount and Bellflower; also on Route 105 (PM R0.50/R18.14), Route 110 (PM 1.23/R11.89) and Route 405 (PM 0.27/28.51). Construct shoulder rumble strips ground-in indentations where right shoulder is less than 4 feet.	PS&E R/W Sup	03/25/2020	9/30/2020	\$ 430	The Department plans to expend by 9/30/20.

**Voted Not Expended Project Status**  
**FY 18-19 Pre Construction Allocations for SHOPP Projects**  
**6-month Project Status**

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No.	Dist- EA	PPNO	County- Route	Work Description	Phase	Allocation Date	Expenditure Deadline	Allocation Amount (X 1000)	Project Status
11	07-32230	5002	LA-110	In the city of Los Angeles, at the 5th Street Overcrossing No. 53-0685 and 6th Street Overcrossing No. 53-0746. Upgrade bridge railing	R/W Sup	3/25/2020	9/30/2020	\$ 30	The Department plans to expend by 9/30/20.
12	04-2K170	1450C	ALA-880	In Hayward, San Leandro, and Oakland, at Tennyson Road Overcrossing (OC) No. 33-0236 (PM 15.65), Washington Avenue OC No. 33-0166 (PM 20.82), and Damon Slough southbound onramp No. 33-0142K (PM 26.53); also on Route 77 in Oakland, at San Leandro OC No. 33-0284 (PM 0.29). Upgrade bridge rails, replace joint seals.	PS&E R/W Sup	3/25/2020	9/30/2020	\$ 1,913	The Department plans to expend by 9/30/20.
13	04-0J480	0481U	CC-4	In and near Hercules, Martinez, and Concord, at various locations from Route 80 to 0.3 mile east of Bailey Road. Upgrade guardrail to make standard.	PS&E	3/25/2020	9/30/2020	\$ 1,610	The Department plans to expend by 9/30/20.
14	03-1H850	6411	SAC-5	In the city of Sacramento, at various locations and routes at the Route 5/99 Interchange Connector ramps and the eastbound Route 51/160 Interchange Connector ramp. Install connector ramp meters.	PS&E R/W Sup	3/25/2020	9/30/2020	\$ 680	The Department plans to expend by 9/30/20.
15	04-4J300	1490D	NAP-29	In and near St. Helena and Calistoga, from York Creek Bridge to Route 128. Pavement rehabilitation.	PS&E R/W Sup	3/25/2020	9/30/2020	\$ 1,144	The Department plans to expend by 9/30/20.
16	02-1H480	3614	SIS-5	In Dunsmuir, from Central Dunsmuir Undercrossing to 0.1 mile north of Siskiyou Avenue at Sacramento River Bridge and Overhead No. 02-0002. Replace southbound bridge deck.	PS&E R/W Sup	3/25/2020	9/30/2020	\$ 3,110	The Department plans to expend by 9/30/20.
17	07-32490	5024	LA-5	In various cities, on Route 5 (PM 19.75/35.99), Route 10 (PM 22.32/23.88), Route 91 (PM R11.80), Route 605 (PM R14.07) and Route 710 (PM 18.7/21.01). Replace pumps with submersible pumps.	PS&E	3/25/2020	9/30/2020	\$ 1,075	The Department plans to expend by 9/30/20.