

## MEMORANDUM

To: CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: January 25-26, 2023

From: STEVEN KECK, Chief Financial Officer

Reference Number: 2.8d.(1), Action Item

Prepared By: James R. Anderson, Chief  
Division of Financial Programming

Subject: **REQUEST TO EXTEND THE PERIOD OF PROJECT DEVELOPMENT  
EXPENDITURES FOR THE STATE-ADMINISTERED SHOPP PROJECTS, ON THE  
STATE HIGHWAY SYSTEM, PER SHOPP GUIDELINES  
WAIVER 23-05**

### **ISSUE:**

Should the California Transportation Commission (Commission) approve project development expenditure time extensions for five State Highway Operation and Protection Program (SHOPP) projects, for the time periods described in the attachment?

### **RECOMMENDATION:**

The California Department of Transportation (Department) recommends that the Commission approve project development expenditure time extensions for five SHOPP projects, for the time periods as described in the attachment.

### **BACKGROUND:**

In January 2020, the Commission allocated \$12,875,000 in project development support for the attached five SHOPP projects. In accordance with SHOPP Guidelines, the deadline to complete the Project Approval and Environmental Document (PA&ED) and the Plans, Specifications, and Estimate (PS&E) phases for projects allocated in January 2020 is January 31, 2023. These projects will not be able to meet the deadlines, and the Department is requesting expenditure time extensions for these phases. The attachment shows the details of each project and the delays that have resulted in these time extension requests.

*“Provide a safe and reliable transportation network that  
serves all people and respects the environment.”*

Current SHOPP Guidelines stipulate that the implementing agency request an expenditure time extension if the project phase will not be completed within 36 months of allocation. The Commission may approve waivers to the timely use of funds deadline one-time only for up to 20 months.

Attachments

*“Provide a safe and reliable transportation network that serves all people and respects the environment.”*

**Time Extension/Waiver – Project Development Expenditure for  
State Highway Operation and Protection Program**

Project Number: 1  
Applicant: Department of Transportation  
County: Santa Barbara  
District: 05  
PPNO: 2674  
EA: 1H310  
Route: 154  
Allocation Amount: \$1,440,000  
Phase: Plans, Specifications, and Estimate  
Allocation Date: 01/29/2020  
Allocation Resolution Number: FP-19-50  
Number of Months Requested: 12 Months  
Extended Deadline: 01/31/2024  
Department Recommendation: Support

**SHOPP Mobility project**

The Department requests a 12-month time extension to complete the project development expenditure of the SHOPP Mobility project. The Department has experienced unexpected delays in completing this project's preconstruction phase.

The project is located in the City of Santa Ynez, from 0.2 mile east to 0.1 mile west of Edison Street/Baseline Avenue, and proposes to construct a roundabout to improve operations and safety. The project has a deadline of January 31, 2023, to complete the PS&E phase.

It took the Department longer than initially anticipated to complete the project's PS&E phase due to going through the condemnation process for 0.15 acres of undeveloped land on one parcel. The owner has informed the Department that he will be contesting the Resolution of Necessity (RON) to be adopted at the January 2023 Commission meeting. Once the RON is adopted, the Department legal staff will assign an attorney, file suit to begin the condemnation process, and then set a hearing date with the courts for an Order of Possession.

Additional time is needed during the PS&E phase to advertise, open bids, award the project, and account for any unforeseen delays in addressing bidder inquiries, disputes, or the need to re-advertise the project.

Therefore, the Department is requesting a 12-month project development expenditure time extension from January 31, 2023 to January 31, 2024, to allow enough time to award the project and finalize the PS&E phase.

Project Number: 2  
Applicant: Department of Transportation  
County: Santa Barbara  
District: 05  
PPNO: 2700  
EA: 1H860  
Route: 101  
Allocation Amount: \$2,648,000  
Phase: Plans, Specifications, and Estimate  
Allocation Date: 01/29/2020  
Allocation Resolution Number: FP-19-50  
Number of Months Requested: 9 Months  
Extended Deadline: 10/31/2023  
Department Recommendation: Support

### **SHOPP Roadway Preservation project**

The Department requests a 9-month time extension to complete the project development expenditure of the SHOPP Roadway Preservation project. The Department has experienced unexpected delays in completing this project's preconstruction phase.

This project is located near the City of Gaviota, from 0.1 mile south of Gaviota Beach State Park to Old Coast Highway. The project proposes to remove and replace pavement with rubberized hot mix asphalt to extend the pavement service life and improve ride quality. The project has a deadline of January 31, 2023, to complete the PS&E phase.

On September 19, 2022, the Department advertised the contract and held bid opening on November 30, 2022. The contract received only two bids total, both below the Engineer's Estimate (EE). However, the first lower bidder was deemed nonresponsive, and the Department is currently pursuing awarding this project to the second bidder.

Additional time is needed to award this project, account for any unforeseen issues in the award process, and secure the time to update the award package and re-advertise the project, if needed.

Therefore, the Department is requesting a 9-month project development expenditure time extension from January 31, 2023 to October 31, 2023, to account for any unforeseen delays in the award process and allow enough time to finalize the PS&E phase.

Project Number: 3  
Applicant: Department of Transportation  
County: Los Angeles  
District: 07  
PPNO: 5435  
EA: 35210  
Route: 138  
Allocation Amount: \$987,000  
Phase: Plans, Specifications, and Estimate  
Allocation Date: 01/29/2020  
Allocation Resolution Number: FP-19-50  
Number of Months Requested: 4 Months  
Extended Deadline: 05/31/2023  
Department Recommendation: Support

**SHOPP Collision Reduction project**

The Department requests a 4-month time extension to complete the project development expenditure of the SHOPP Collision Reduction project. The Department has experienced unexpected delays in completing this project's preconstruction phase.

This project is located in the City of Palmdale, at the intersection of 2nd Street East. The project proposes to install new traffic signals, install pedestrian signal heads with count-down and accessible pedestrian signals, install marked crosswalks, and upgrade curb ramps to current standards. The project has a deadline of January 31, 2023, to complete the PS&E phase.

It took the Department longer than initially anticipated to complete the project's PS&E phase due to the extensive coordination efforts with the City of Palmdale to resolve outstanding right of way work, as well as a required temporary construction easement from an In-N-Out Burger restaurant property and other establishments that are located within the project's vicinity, which took the Department over a year to complete. As a result, several revisions to the PS&E package were performed, resulting in delays to the project's schedule.

Therefore, the Department is requesting a 4-month project development expenditure time extension from January 31, 2023 to May 31, 2023, to process and finalize the PS&E phase and award the project.

Project Number: 4  
Applicant: Department of Transportation  
County: Riverside  
District: 08  
PPNO: 3008L  
EA: 1H060  
Route: 74  
Allocation Amount: \$5,400,000  
Phase: Plans, Specifications, and Estimate  
Allocation Date: 01/29/2020  
Allocation Resolution Number: FP-19-50  
Number of Months Requested: 6 Months  
Extended Deadline: 07/31/2023  
Department Recommendation: Support

### **SHOPP Pavement Rehabilitation project**

The Department requests a 6-month time extension to complete the project development expenditure of the SHOPP Pavement Rehabilitation project. The Department has experienced unexpected delays in completing this project's preconstruction phase.

This project is located in the City of Hemet, from Winchester Road to Fairview Avenue, and proposes to overlay pavement, install fiber optic/vehicle detection stations, and upgrade curb ramps to current standards. The project has a deadline of January 31, 2023 to complete the PS&E phase.

This is a challenging and complex project with over 42 lane miles of minor pavement rehabilitation, 140 curb ramp upgrades, and traffic signals synchronization along an 11-mile corridor. During the PS&E phase, it was discovered that various utilities were in conflict with the Department's improvements, including Southern California Edison poles, that needed to be relocated, which delayed the right of way certification by several months. In addition, after achieving the Ready to List milestone, the Department looked at the opportunity to incorporate a broadband scope of work on the project. This scope of work included approximately 10.7 miles of fiber optics which is a \$4 million construction cost. Although it was determined to proceed with the current scope of work and add the broadband scope during construction, the evaluation process further delayed advertising the project. As a result, the Department held bid opening on December 1, 2022, and received several bids. The lowest bidder is 26 percent over the Engineer's Estimate. The Department is currently evaluating the bids and needs additional time secure supplemental funding at a future CTC meeting to move the project forward.

Therefore, the Department is requesting a 6-month project development expenditure time extension from January 31, 2023 to July 31, 2023, to allow enough time to process and finalize the PS&E phase and award the project.

Project Number: 5  
Applicant: Department of Transportation  
County: San Bernardino  
District: 08  
PPNO: 3006U  
EA: 1H390  
Route: 15  
Allocation Amount: \$2,400,000  
Phase: Plans, Specifications, and Estimate  
Allocation Date: 01/29/2020  
Allocation Resolution Number: FP-19-50  
Number of Months Requested: 6 Months  
Extended Deadline: 07/31/2023  
Department Recommendation: Support

### **SHOPP Weight Stations & Weight-In-Motion Facilities project**

The Department requests a 6-month time extension to complete the project development expenditure of the SHOPP Weight Stations & Weight-In-Motion Facilities project. The Department has experienced unexpected delays in completing this project's preconstruction phase.

This project is located on SR 1 in the City of Hesperia, from 3.1 miles south of Oak Hill Road to 0.3 mile north of Ranchero Road; also from 0.5 mile north of Cleghorn Road to 0.3 mile south of SR 138 at the southbound Cajon Truck Facility. The project proposes constructing a new southbound weigh station and truck safety inspection facility at the Cajon Pass and closing the existing southbound Cajon Truck Scale facility. The project has a deadline of January 31, 2023, to complete the PS&E phase.

The project encountered unanticipated delays during the PS&E phase due to extensive coordination in securing subsurface permits issued by the United States Forest Service (USFS) to provide well water to the site. Unfortunately, USFS did not approve the permit, so the Department expended additional time to design a water line and connect to a local water source, of the City, to supply the facility. These delays, as well as extensive coordination efforts with the California Highway Patrol, the Federal Highway Administration, and local agencies, to accommodate the facility's needs for truck parking and building, have resulted in the need for additional time to complete the PS&E phase. This additional time will allow the project adequate time to advertise, award, and complete contract approval.

Therefore, the Department is requesting a 6-month project development expenditure time extension from January 31, 2023 to July 31, 2023, to allow enough time to process and finalize the PS&E phase.