

MEMORANDUM

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: January 25-26, 2023

From: STEVEN KECK, Chief Financial Officer

Reference Number: 2.5f., Information Item

Prepared By: Keith Duncan, Chief
Division of Budgets

Subject: **INFORMATIONAL REPORTS – DELEGATED ALLOCATIONS**
EMERGENCY G-21-11, SHOPP G-03-10 SAFETY, AND MINOR G-19-05a

SUMMARY:

Since the period reported at the last California Transportation Commission (Commission) meeting, the California Department of Transportation (Department) allocated or sub-allocated:

- 2.5f.(1) - \$34,844,000 for construction and \$6,795,000 for construction engineering for 11 emergency construction projects, pursuant to the authority granted under Resolution G-21-11.
- 2.5f.(3) - \$16,469,000 for construction and \$4,395,000 for construction engineering for one safety project, pursuant to the authority granted under Resolution G-03-10.
- 2.5f.(4) - \$2,282,000 for two State Highway Operation and Protection Program (SHOPP) Minor A projects, pursuant to the authority granted under Resolution G-19-05a.

As of November 30, 2022, the Department has allocated or sub-allocated the following for construction in the Fiscal Year 2022-23:

- 2.5f.(1) - \$112,050,000 for 42 emergency construction projects.
- 2.5f.(3) - \$136,308,000 for 20 safety delegated projects.
- 2.5f.(4) - \$7,698,000 for eight SHOPP Minor A projects.

BACKGROUND

The Commission, by Resolution G-11, as amended by resolution G-21-11, delegated to the Department authority to allocate funds to correct certain situations caused by floods, slides, earthquakes, material failures, slip outs, unusual accidents, or other similar events.

“Provide a safe and reliable transportation network that serves all people and respects the environment.”

This authority is operative whenever such an event:

1. Places people or property in jeopardy.
2. Causes or threatens to cause closure of transportation access necessary for:
 - a. Emergency assistance efforts.
 - b. The effective functioning of an area's services, commerce, manufacture, or agriculture.
 - c. Persons in the area to reach their homes or employment.
3. Causes either an excessive increase in transportation congestion or delay, or an excessive increase in the necessary distances traveled.

Resolution G-11 authorizes the Department to allocate funds for follow-up restoration projects associated with, and that immediately follow an emergency condition response project. Resolution G-11 also requires the Department to notify the Commission, at their next meeting, whenever such an emergency allocation has been made.

On March 30, 1994, the Commission delegated to the Department authority to allocate funds under Resolution G-11, as amended by Resolution G-21-11. This authority allows the Department to begin work without waiting for the next Commission meeting to receive an allocation.

On March 28, 2001, the Commission approved Resolution G-01-10, as amended by resolution G-03-10, delegating to the Department authority to allocate funds for SHOPP safety projects. This authority allows the Department to begin work without waiting for the next Commission meeting to receive an allocation.

Resolution G-19-05a authorizes the Department to sub-allocate funds for Minor projects. At the June 2022 meeting, the funding and project listing for the FY 2022-23 Lump Sum Minor Construction Program was approved by the Commission under Resolution FM-21-03.

The SHOPP, as approved by the Commission, is a four-year program of projects with the total annual proposed expenditures limited to the biennial Commission-approved Fund Estimate. The Commission, subject to monthly reporting and briefings, has delegated to the Department the authority to allocate funds for safety projects and emergency projects. The Department uses prudent business practices to manage the combination of individual project cost increases and savings to meet Commission policies.

In all cases, the delegated authority allows the Department to begin work without waiting for the next Commission meeting to receive an allocation.

The Department has complied with the National Environmental Policy Act and the California Environmental Quality Act requirements in preparing these projects.

Attachments

"Provide a safe and reliable transportation network that serves all people and respects the environment."

2.5 Highway Financial Matters

Project No. Amount County Dist-Co-Rte Postmile	Location Project Description Allocation History	PPNO Program/Year Project ID Adv Phase EA	Budget Year Item # Fund Type Program Code	Amount by Fund Type
2.5f.(1) Informational Report - Emergency G-11 Allocations				
7 \$1,300,000 Tulare 06-Tul-99 Var	In and near the cities of Tulare and Visalia, on Routes 99 and 198 at various locations. People experiencing homelessness have been using bridge structures for shelter in this area. Right-of-way (R/W) fences have been breached, slopes under structures have been flattened in order to place temporary shelters, reducing the stability of the slopes and threatening the roadway and structures. This project is necessary to secure all areas by replacing the existing fences with hardened fencing, reconstructing damaged slopes, and installing concrete paving to prevent future undermining. As the project progressed, there was an increase in quantities for fence material, fence removal, and concrete. This supplemental is necessary to cover the additional cost.	06-7097 SHOPP/21-22 CON ENG \$300,000 CONST \$1,000,000 0621000210 4 1C880 Emergency	001-0042 SHA 20.10.201.130 2021-22 302-0042 SHA 20.20.201.130	\$300,000 \$1,000,000
<u>Performance Measure:</u> 31 Location(s)				
Initial G-11 Allocation 12/30/2021: \$2,155,000 Supplemental G-11 Allocation 10/12/2022: \$1,300,000 Revised Allocation: \$3,455,000				
8 \$15,770,000 Los Angeles 07-LA-5 R61.5/R63.7	Near Castaic, from 2.0 miles north of Lake Hughes Road to 2.3 miles south of Templin Highway (Left and Right alignments). On August 31, 2022, the Route Fire began near Castaic lake and damaged highway appurtenances. This project will shut down lanes near damaged walls and pave inside shoulders for additional temporary traffic capacity, remove debris, and remove and replace damaged guardrail, signs, and drainage systems. This supplemental is necessary because additional damage was discovered after the fire was out. Timber lagging retaining walls sustained damage to steel beams, anchor strands were broken, lagging was burned, and stress cracks were discovered. Replace anchors, place rebar on wall face, apply shotcrete, clean and coat soldier piles, repair damaged drainage systems, hydroseed slopes, replace guardrail and signs, and place vegetation control.	07-5997 SHOPP/22-23 CON ENG \$1,000,000 CONST \$14,770,000 0723000026 4 2XC00 Emergency	001-0042 SHA 20.10.201.130 2021-22 302-0042 SHA 20.20.201.130	\$1,000,000 \$14,770,000
<u>Performance Measure:</u> 2 Location(s)				
Initial G-11 Allocation 09/19/2022: \$4,100,000 Supplemental G-11 Allocation 10/21/2022: \$15,770,000 Revised Allocation: \$19,870,000				
9 \$3,100,000 Los Angeles 07-LA-23 1.0/1.3	Near Malibu, from 1.0 mile to 1.3 miles north of Route 1. On October 11, 2022, a rock slide fell onto Route 23, resulting in a closure of the highway. Maintenance crews removed the fallen rock, and an assessment revealed the adjacent slope is unstable, with the possibility of large boulders falling. This project is necessary to perform rock scaling to remove loose boulders and other material, dispose of that material, and construct a rockfall net to prevent other future loosened material from falling onto the roadway.	07-6000 SHOPP/22-23 CON ENG \$600,000 CONST \$2,500,000 0723000041 4 2XC30 Emergency	001-0042 SHA 20.10.201.130 2021-22 302-0042 SHA 20.20.201.130	\$600,000 \$2,500,000
<u>Performance Measure:</u> 1 Location(s)				
Initial G-11 Allocation 10/31/2022: \$3,100,000 (Additional \$100,000 was allocated for right of way purposes.)				

2.5 Highway Financial Matters

Project No. Allocation Amount County Dist-Co-Rte Postmile	Location Project Description Allocation History	PPNO Program/Year Phase Prgm'd Amount Project ID Adv Phase EA	Budget Year Item # Fund Type Program Code	Amount by Fund Type
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2.5f.(3) Informational Report - SHOPP Safety Resolution G-03-10 Delegated Allocations

1 \$20,864,000	Near Upper Lake, from 0.6 mile west of Irvine Avenue to 0.1 mile east of Mid Lake Road. <u>Outcome/Output:</u> Improve safety by realigning curve, widen shoulders, construct retaining wall, replace drainage systems, reconstruct roadway, and install guardrail. This project will reduce the number and severity of collisions.	01-3123 SHOPP/21-22 CON ENG \$4,395,000 CONST \$15,214,000 0118000117 4 0H840	001-0042 SHA 001-0890 FTF 20.10.201.010 2022-23 302-0042 SHA 302-0890 FTF 20.20.201.010	\$439,000 <u>\$3,956,000</u> \$4,395,000 \$1,647,000 <u>\$14,822,000</u> \$16,469,000
Lake 01-Lak-20 2.0/2.8				
	<u>Performance Measure:</u> Planned: 36, Actual: 36 Collision(s) reduced			
	Preliminary <u>Engineering</u> PA&ED PS&E R/W Sup	<u>Budget</u> \$1,986,000 \$2,600,000 \$689,500	<u>Expended</u> \$1,976,746 \$2,336,223 \$438,067	
	CEQA - ND, 9/18/2020; Re-validation 11/30/2022 NEPA - CE, 9/18/2020; Re-validation 11/30/2022			
	Future consideration of funding approved under Resolution E-20-110; December 2020.			
	Twelve month allocation time extension for CONST and CON ENG approved under Waiver 22-73; June 2022.			
	Allocation Date: 12/12/2022			

2.5 Highway Financial Matters

#	Dist	County	Route	Postmile	Location/Description	EA1	Program Code	Original Est.	Allocations
2.5f.(4) Informational Report - Minor Construction Program - Resolution G-19-05a Delegated Allocations									
1	01	Hum	101	R6.75/33.05	Drainage restoration.	48410	201.151	\$1,250,000	\$1,229,000
2	05	SB	101	R14.2/16.2	Planting and rehabilitation.	1A640	201.210	\$1,250,000	\$1,053,000