

## MEMORANDUM

To: CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: January 30-31, 2025

From: STEVEN KECK, Chief Financial Officer

Reference Number: 2.5e.(4), Action Item

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District 05 – Director

Subject: **SUPPLEMENTAL FUNDS FOR CAPITAL OUTLAY SUPPORT PHASE  
PPNO 2667/EA 1H610 – SANTA BARBARA COUNTY – STATE ROUTE 1  
RESOLUTION FA-24-44**

### **ISSUE:**

Should the California Transportation Commission (Commission) approve the California Department of Transportation's (Department) request for an additional \$400,000 in Capital Outlay Support (COS), for the State Highway Operation and Protection Program (SHOPP) Collision Severity Reduction project on State Route (SR) 1, in Santa Barbara County, to complete the Plans, Specifications, and Estimate (PS&E) phase?

### **RECOMMENDATION:**

The Department recommends that the Commission approve this request for a COS supplemental funds allocation for this SHOPP project.

### **PROJECT DESCRIPTION:**

This project is located on SR 1 near Orcutt and the City of Guadalupe, from Solomon Road to SR 166, in Santa Barbara County. The project will widen shoulders, install rumble strips, extend or replace culverts, construct or modify existing drainage ditches, upgrade guardrail, and relocate fixed objects from clear recovery zone.

### **FUNDING AND PROGRAMMING STATUS:**

In March 2018, this project's PS&E phase was programmed for \$1,942,000 in the SHOPP for allocation in Fiscal Year 2020-21. In June 2021, the project received a 4-month allocation time extension for the PS&E and Right of Way Support phases. In August 2021, the project received a PS&E allocation for \$1,942,000. In July 2023, the project received partial G-12 funds in the amount of \$130,000 for the PS&E phase. In February 2024, the project received another partial

G-12 funds in the amount of \$130,000 for the PS&E phase. In May 2024, the project received the remaining G-12 funds in the amount of \$134,200 for the PS&E phase. In June 2024, the project requested an allocation time extension for the Construction phase. However, the request was deferred to the August 2024 meeting and was approved for 12 months. In August 2024, the project received a 15-month project development expenditure time extension for the PS&E phase. The PS&E phase is currently 90 percent complete, with a remaining budget of \$53,000, which is insufficient to complete the phase. If this request for additional funding is approved, it is anticipated to Ready to List (RTL) the project by May 2025, and complete the remaining PS&E activities by December 2025.

**REASON FOR COST INCREASE:**

The project realized cost increases due to extensive coordination with utility owners and negotiations with property owners, which resulted in schedule delays on the project and additional support costs.

The project proposes to widen shoulders, install rumble strips, extend or replace culverts, construct or modify existing drainage ditches, upgrade guardrail, and relocate fixed objects from the clear recovery zone along an approximate 13-mile segment of SR 1. Relocation of fixed objects consists of moving up to 99 utility poles from two utility owners. The project requires acquisition of 17 parcels to accommodate the relocation of the utility poles. The Department has experienced significant delays during the coordination efforts with the utility owners to complete the utility relocation plans. The delays have affected negotiations with the property owners and RTL of the project. When negotiations progressed with the utility owners, unforeseen utility conflicts were identified on private property which impacted an owner's wells and appurtenances. At the time the G-12 funds were approved by the Department, the unforeseen utility conflicts between the proposed utility pole relocations and owner's wells and appurtenances were not yet identified and the project team believed the project could be delivered within the G-12 allowance. Ongoing negotiations with the property owners continue to result in changes to the contract documents and support costs beyond what was anticipated.

Therefore, supplemental funds in the amount of \$400,000 are required to finalize the relocation plans and negotiations with the property owners. It will also allow for the completion of the contract documents to RTL the project and remaining PS&E activities through contract approval.

**CONSEQUENCES:**

If this request for supplemental funds is not approved, the Department will not be able to RTL the project which will widen the shoulders and improve the clear recovery zone to reduce the collision severity along this segment of SR 1. To incorporate the shoulder widening and all of the clear recovery zone improvements, the project will need to be reprogrammed in a future SHOPP cycle which could lead to the potential of a project cost increase.

**FINANCIAL RESOLUTION:**

Resolved, that \$400,000 be allocated to provide funds to complete the PS&E phase for this SHOPP project.

Attachment

2.5 Highway Financial Matters

Project #		PPNO		
Allocation Amount		Program		
Recipient		Phase		
County		Program Code		
Dist-Co-Rte	Location	Project ID		This
Postmile	Project Description	Adv Phase	Original Amount	Supplemental
		EA		Request
<b>2.5e.(4)</b>	<b>COS Supplemental Funds for Previously Voted Project</b>			<b>Resolution FA-24-44</b>
1	Near Orcutt and Guadalupe, from Solomon Road to Route 166 Junction.	05-2667	\$2,336,200	\$400,000
\$400,000		SHOPP		
		PS&E		
Department of	<u>Outcome/Output:</u> Widen shoulders, install rumble strips, upgrade guardrails and relocate objects from within the clear recovery zone.	20.10.201.015		
Transportation		0516000097		
Santa Barbara		1		
05-SB-1	Supplemental funds are needed to complete PS&E.	1H610		
R36.3/49.2				
	CEQA - MND, 8/18/2021			
	NEPA - CE, 8/18/2021			
	Future consideration of funding approved under Resolution E-21-77; August 2021.			