

2023 Active Transportation Program (Cycle 6)



Central Workshop

January 11, 2022

1:00 pm – 4:00 pm

Program Basics



- Program 4 years of funds
 - Fiscal years 2023-24, 2024-25, 2025-26 and 2026-27
- Anticipate similar funding availability as last cycle: \$445,560
 - \$100,000 fiscal years 2023-24 and 2024-25
 - \$122,780 fiscal years 2025-26 and 2026-27

Goals for Today



- Recap December 15 Workshop
 - Projects in Planning Documents
- Finalize Previously Discussed Items:
 - Housing Language
 - Leveraging Funds
 - Non-Infrastructure (NI) Issues
 - Set-Aside & Tiebreaker
 - Enforcement and NI
 - Project Size Thresholds
- Discuss New Topics:
 - Project Segmenting
 - MPO Component – Down-scoping
- Discuss Administrative Changes (*if time allows*)
 - Scope Amendments
 - Project Cancellation
 - Project Reporting – Photographs
 - Caltrans Enhanced Oversight
- Discuss Minor Language Changes (*if time allows*)
- Action items

2023 ATP Central Workshops



Date	Time	Type	Focus
November 9, 2021	9:30 am – 12:30 pm	Kick-Off	Kick-off
November 17, 2021	1:00 pm – 4:00 pm	Central	Guidelines
December 1, 2021	1:00 pm – 4:00 pm	Central	PSR Equivalent
December 15, 2021	9:30 am – 12:30 pm	Central	Guidelines
January 11, 2022	1:00 pm – 4:00 pm	Central	Guidelines
January 19, 2022	9:30 am – 12:30 pm	Central	Guidelines
February 8, 2022	1:00 pm – 4:00 pm	Central	Application
February 23, 2022	9:30 am – 12:30 pm	Central	Scoring Rubrics

2023 ATP Branch Workshops



Requested Locations

Monterey County	Nevada County
San Benito County	Sonoma County
Santa Cruz County	Ventura County
Santa Barbara County	Los Angeles County
Siskiyou County	

To schedule a Branch Workshop, please contact Elika Changizi at Elika.Changizi@catc.ca.gov

Final 2023 ATP Schedule



Topic	Dates
Draft ATP Guidelines presented to Commission	January 26-27, 2022
Draft ATP Fund Estimate presented to Commission	January 26-27, 2022
Commission hearing and adoption of ATP Guidelines	March 16-17, 2022
Commission adopts ATP Fund Estimate	March 16-17, 2022
Call for projects	March 16-17, 2022
Scoring rubrics posted on Commission website	March 29, 2022
Large MPOs submit optional guidelines to Commission	May 13, 2022
Project applications deadline (postmark date)	June 15, 2022
Commission approves or rejects MPO Guidelines	June 29-30, 2022

Final 2023 ATP Schedule (cont.)



Topic	Dates
Staff recommendation for Statewide, Small Urban & Rural components and Quick-Build Pilot Program posted	October 21, 2022
Commission adopts Statewide, Small Urban & Rural components and Quick-Build Pilot Program	December 7-8, 2022
Projects not programmed distributed to large MPOs based on location	December 2022
Deadline for MPO draft project programming recommendations to the Commission	February 20, 2023
Deadline for MPO final project programming recommendations to the Commission	April 21, 2023
Recommendations for MPO Component posted	May 12, 2023
Commission adopts MPO selected projects	June 2023



December 15 Workshop Recap

Projects in Planning Documents Language



- Proposed Guidelines Language
 - Section 20D, Scoring Criteria – Public Participation and Planning
 - Identification of the community-based public participation process that culminated in the project proposal, which may include noticed meetings and consultation with local stakeholders. Project applicants must clearly articulate how the local participation process (including the participation of disadvantaged community stakeholders) resulted in the identification and prioritization of the proposed project. If there is significant opposition to the project, applicants should summarize any major points of concern raised by the opposition and provide a response. **Additionally, for large infrastructure and large infrastructure/non-infrastructure combination projects, applicants will be awarded points for the inclusion of the project in an adopted active transportation plan or similar plan. Eligible plans must have been developed through a comprehensive public engagement process.**



Finalize Previously Discussed Items

Housing Language



- Proposed Guidelines Language
 - Section 20I, Scoring Criteria, Transformative Projects
 - In addition, applicants should address the potential for the project to support existing and planned housing, especially affordable housing, and how the project will advance local transportation and land use goals. Applicants are encouraged to apply for the California Department of Housing and Community Development's (HCD) [Prohousing Designation Program](#) and to describe how local policies align with prohousing criteria. If housing is not an issue for the community, the applicant should explain why it is not a concern.
- Discussion

Leveraging



- Overview
 - Commission staff is recommending that applicants continue to be scored on leveraging in medium and large applications.
 - Commission staff is not recommending any additional eligible leveraging sources at this time.
- Discussion

Non-Infrastructure (NI) Projects – Set-Aside



- Overview:
 - Commission staff is not recommending an NI set-aside in the 2023 Active Transportation Program.
 - Commission staff proposes removing the prioritization of infrastructure projects in the tiebreaker for projects at the cut-off score, so that non-infrastructure projects are given equal consideration.
- Discussion

Non-Infrastructure (NI) Projects - Enforcement



- Overview:
 - Applicants are currently allowed to propose enforcement activities and programs as part of non-infrastructure projects.
 - ATP-funded enforcement activities have included student/parent safety patrol programs and rewards for good behavior.
 - ATP-funded enforcement programs should focus on building positive relationships between law enforcement and the community.
- Discussion:
 - Should the ATP continue to allow enforcement activities?
 - Any other questions or comments.

Project Size Thresholds



- Thresholds apply to infrastructure and combination infrastructure + non-infrastructure projects.
- Based on total project cost.
- Current thresholds:
 - Small: \$2 million or less
 - Medium: Over \$2 million up to \$7 million
 - Large: Over \$7 million
- Discussion:
 - Should we change these thresholds? Why or why not?
 - If we were to change them, what would be reasonable thresholds?
 - Any other questions or comments.



New Guidelines Topics for Discussion

Project Segmenting – New Section



- Section 28C, Project Segmenting
 - The Commission will consider project segmenting requests that aim to aid in the project's overall delivery.
 - Each project phase must remain programmed in the fiscal year in which it was originally programmed.
 - An agency may only request to segment a project once during the life of a project.
 - The submittal process will be similar to that of scope changes:
 - Agencies will be required to submit a request to Caltrans that includes the reason for the proposed segmentation, a map showing each segment or phase, and separate PPRs.
 - Caltrans will send an analysis and recommendation to Commission staff for the Commission's consideration.

Project Segmenting – New Section



Section 28C, Project Segmenting – Proposed Language

The Commission will consider project segmenting requests that aim to aid in the overall delivery of the project. When preparing a request to segment a project, agencies should consider the following:

- Each project phase must remain programmed in the fiscal year in which it was originally programmed.
- An agency may only request to segment a project once during the life of a project.

An agency wishing to segment a project must submit a request to Caltrans that includes the following:

- An explanation of the proposed project segmentation.
- The reason for the proposed project segmentation.
- A map clearly showing each project segment or phase.
- Separate Project Programming Requests (PPRs) for each project segment.

Caltrans will review the proposed project segmenting request and forward the proposal along with Caltrans' written analysis and recommendation to Commission staff for the Commission's consideration.

MPO Component – Down-scoping



- Section 21, MPO Competitive Selection Process
 - An MPO may also elect to have a supplemental MPO-specific call for projects. The projects received in this call must be considered along with those not selected for funding in the statewide competitive program. **As part of the supplemental call for projects, MPOs may allow applicants to down-scope or provide a scalability plan for statewide-submitted projects.**
- Purpose of Change:
 - Align Guidelines with current MPO-component processes.
- Discussion



Administrative Changes

Scope Amendments



- Section 28A, Scope Amendments
 - Add the following to the items included in a scope change request:
 - For projects in which the original ATP scope has been or will be completed through a different project or funding source, the implementing agency must submit an explanation of the overlapping scopes of the projects and/or change in funding source. If the scope change is approved, the agency must continue to report on any items that were in the original ATP scope but were or will be completed through a different project or funding source.
 - Identification of any funding sources used to complete the project that were not included in the project application.
 - Identification of any savings expected due to a reduced or modified scope.
 - Discussion

Scope Amendments



- Section 28A, Scope Amendments
 - Add the following paragraph:
 - Scope changes must be addressed as soon as they are identified and must be approved prior to receiving the construction allocation. The Commission will not consider retroactive scope changes that are requested after a construction allocation or after the project is constructed. Projects that are built with a modified scope without an approved scope change will not be eligible for cost reimbursement and must repay reimbursed ATP funds.
- Discussion

Project Cancellation – New Section



- Section 37, Project Cancellation – Proposed Language
 - If an implementing agency elects to cancel a project, the agency must cease all invoicing and relinquish any remaining funds to the program.
 - Project cancellations in the PA&ED, PS&E, or R/W phases require repayment of the reimbursed ATP funds if the investment does not result in a physical improvement. Project cancellations directly related to compliance with another federal and/or state law, such as NEPA and CEQA, do not require repayment. If an implementing agency feels repayment is not required on a project canceled in the PA&ED, PS&E or R/W phase, the implementing agency must submit a written request to Caltrans. Caltrans will review the request and forward the request and a written recommendation to the Commission staff for the Commission's consideration.
- Discussion

Project Reporting



- Section 38, Project Reporting
 - Add the following language:
 - All implementing agencies must submit regular progress reports, a completion report, and a final delivery report to Caltrans. Implementing agencies should refer to the Local Assistance website for details. **As part of the reporting process, the project applicant or partnering implementing agency must submit photographs depicting project progress and completion. Photographs of the completed project should be at least 300 dots per inch (DPI).**
 - Discussion



Action Items

Next Steps



- Central Workshop – Guidelines
January 19, 2022
9:30 am – 12:30 pm
- Central Workshop – Application
February 8, 2022
1:00 pm – 4:00 pm

Staff Contact



- **Laurie Waters**
Laurie.Waters@catc.ca.gov
(916) 651-6145
- **Beverley Newman-Burckhard**
Beverley.Newman-Burckhard@catc.ca.gov
(916) 651-3080
- **Elika Changizi**
Elika.Changizi@catc.ca.gov
(916) 653-3159

Thank You



More Information

catc.ca.gov

catc.ca.gov/programs/active-transportation-program

Email: ctc@catc.ca.gov



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