

Toll Facility Application Guidelines Workshop

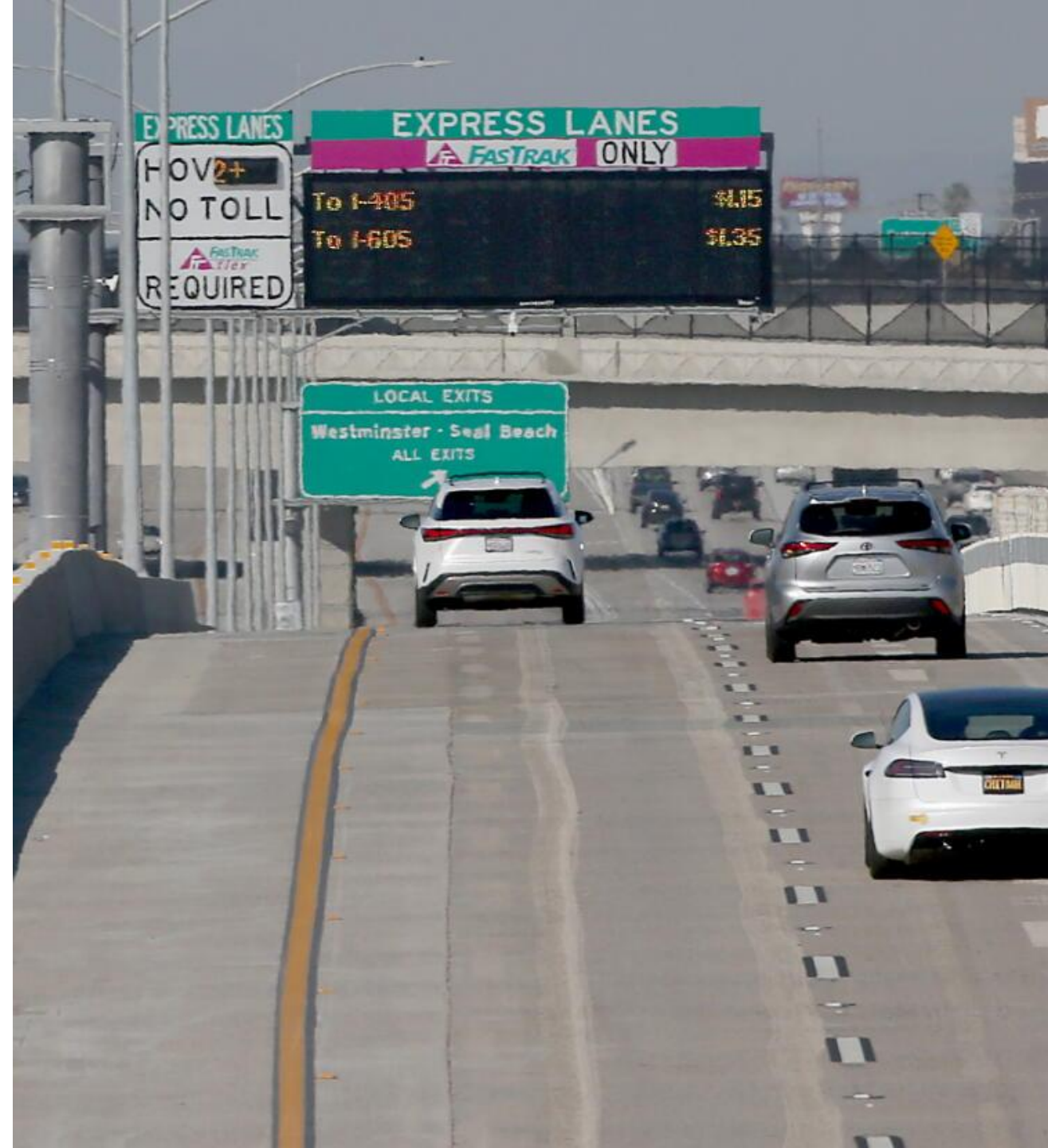
Overview of Proposed Guidelines Update | September 16, 2026



Workshop Agenda

- Introductions
- Background & Schedule
- Guidelines Overview
- Next Steps

Pauses for Q&A at several points



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How to participate and ask questions

Raise hand for verbal questions or comments

(Commission staff will unmute and call on you when it's your turn to speak.)

Use Q&A for written questions or comments

(Commission staff will read the comment on your behalf.)



Raise Hand



Q&A

Background & Schedule

Why the Commission is updating guidelines, and the schedule for adoption





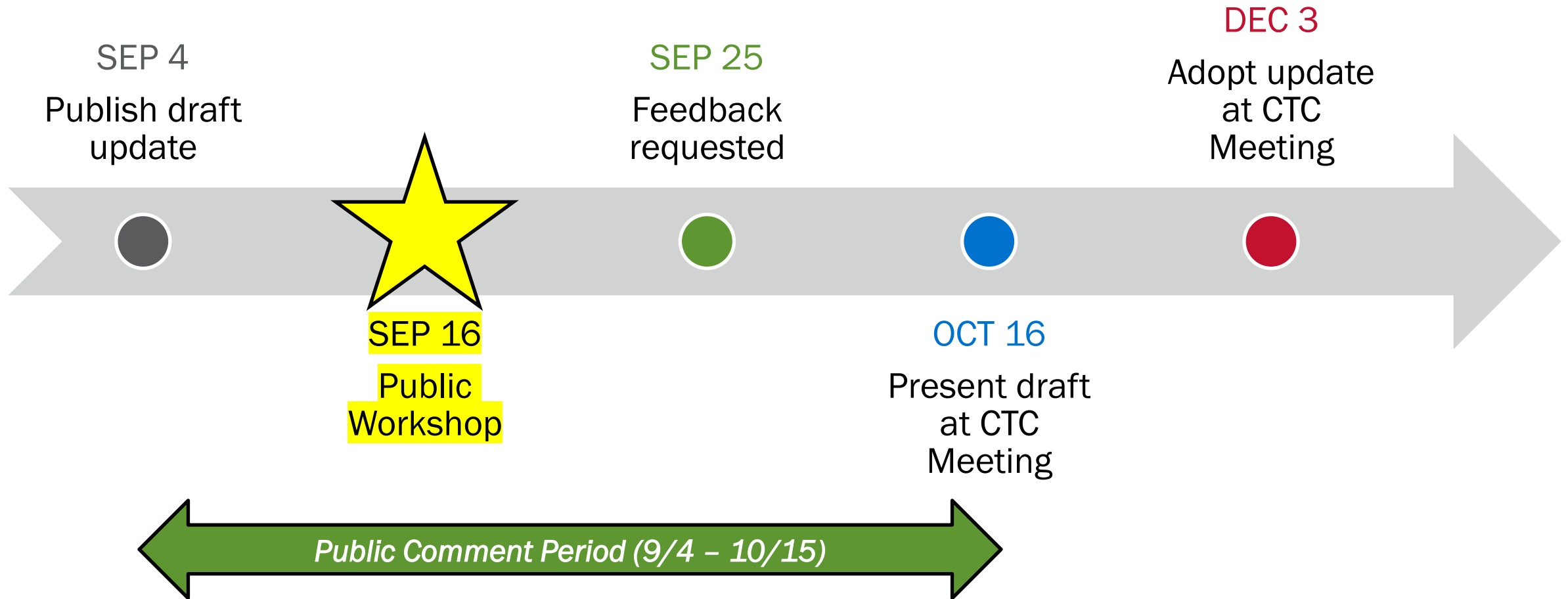
Why update?

- Guidelines not updated since 2016 adoption
- Six toll facility applications reviewed/approved
- Feedback from both applicants and reviewers
- Reorganize and clarify

Where the Tolling Approval Process Fits?



Guidelines Development Schedule



Questions?

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Q&A

Guidelines Overview

A high-level look at how the guidelines are reorganized



Reorganized for clarity

- I. Introduction
- II. Application Process
- III. Application Requirements
- IV. Project Amendments
- V. Reporting



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Introduction

1. Purpose
2. Authority
3. Eligible Applicants

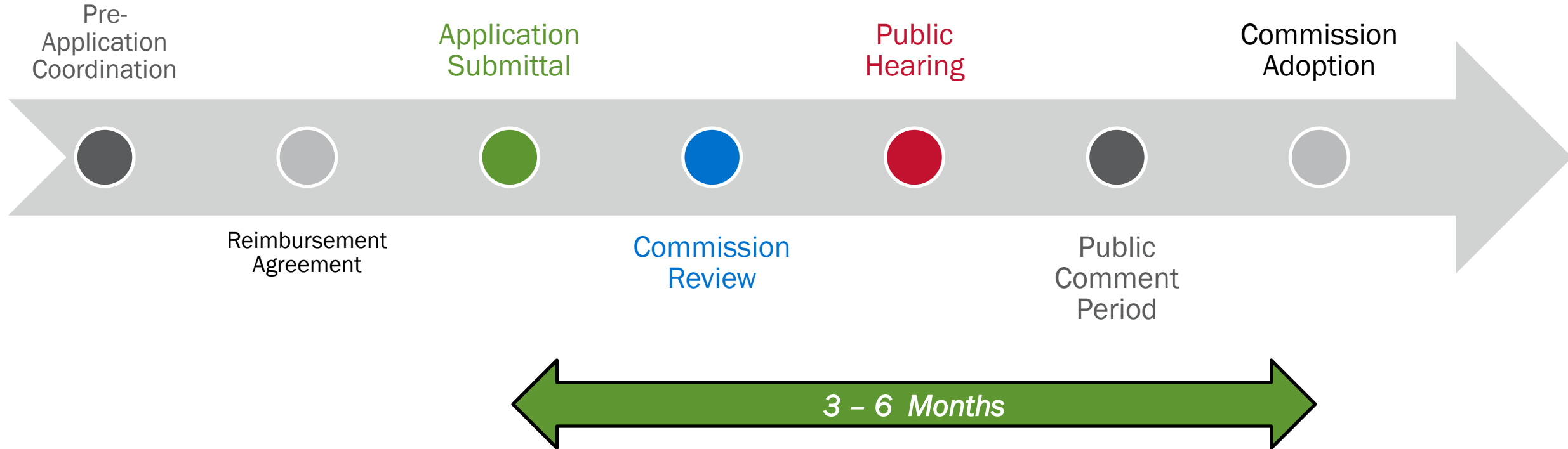


Application Process

4. Reimbursement Agreement
5. Submittal Requirements
6. Application Review
7. Application Approval



Application Process



Reimbursement Agreement

Section 4.1, Page 2

4.1. Regional Transportation Agency

Before applying, regional transportation agency applicants must execute a reimbursement agreement with the Commission, covering Commission costs to process the application, as required by Streets and Highways Code Section 149.7(d). Costs vary depending on application complexity, and applicants are encouraged to discuss cost expectations with Commission staff before applying.

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Application Requirements

8. Information

9. Corridor Performance

10. Transportation
Planning Consistency

11. Coordination

12. Financial Plan



Definition of Corridor

Section 9, Page 5

For purposes of these guidelines, corridor refers to the geographic area along the tolling route segment for which tolling authority is requested, as defined by the project limits in the California Environmental Quality Act (CEQA) and, where applicable, the National Environmental Policy Act (NEPA) environmental compliance documentation.

Traffic and Revenue Study

Section 9, Page 5

The application **must include a traffic and revenue study** prepared and certified by a licensed Professional Engineer based on current traffic, economic, and land use conditions as of the date of submittal. The study must present traffic projections for the proposed toll facility, identify the assumed pricing structure and its basis, and estimate gross toll revenues over the project's anticipated operational life. The study must consider the potential diversions of vehicles onto adjacent routes that could lead to congestion, safety problems, and infrastructure damage due to the imposition of tolls on the proposed facilities. The applicant must describe how it will address diversion impacts.

Environmental Document

Section 11, Page 5

11. Environmental Compliance

The application must describe the status of environmental review under CEQA and, if applicable, NEPA, including:

- The progress of the draft or final environmental document(s), prepared by the lead agency
- An anticipated timeline for completing the environmental document(s)

The applicant must circulate the draft environmental document(s) and make it available for Commission review before the public hearing. The applicant must provide the final environmental document(s) before the Commission considers the application for approval.

Equity Analysis

Section 12.4, Page 7

The application must demonstrate that the proposed toll facility will create mobility opportunities for all Californians, consistent with the [Commission's Racial Equity Statement \(adopted January 27, 2021\)](#).

The application must identify the disadvantaged or historically impacted communities affected by the proposed toll facility and assess whether the proposed pricing structure would result in disparate impacts based on race, color, socioeconomic status, gender, age, disability, or national origin, and describe strategies it proposes to mitigate those impacts.

Applicants may refer to the Commission's [SB 1 Competitive Programs Transportation Equity Supplement](#) for example indicators used to identify disadvantaged or historically impacted communities, and for example strategies to assess and mitigate disparate impacts. While developed for the SB 1 competitive programs, applicants may [adapt the indicators and methodology](#) for toll facility applications.

Revenue Use

Section 13.2, Page 8

The application must demonstrate that the applicant will apply toll revenue **to the following:**

1. Direct expenses related to any debt issued to construct, repair, rehabilitate, or reconstruct the toll facility.
2. Development, maintenance, repair, rehabilitation, improvement, reconstruction, administration, and operation of the toll facility, including toll collection and enforcement.
3. Reserves for the purposes identified in items 1 and 2 above.

Excess Revenue

Section 13.2, Page 8

If sufficient toll revenue has been committed to the obligations listed above, and the sponsoring agency provides toll facility records to Caltrans demonstrating that the tolled facility is being adequately maintained, any remaining toll revenue may be used **within the corridor** from which it was generated for **transportation purposes eligible for Federal funding under United States Code, Title 23,** consistent with an expenditure plan developed by the sponsoring agency.

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Project Amendments



Amendment Process

Part IV, Page 9

If the sponsoring agency finds it necessary or appropriate to make changes to the toll facility project after approval, the sponsoring agency must submit an amendment to the project application that describes the change and reasons for it. An amendment request is only necessary if the change substantially alters the scope, schedule, or terms of the approved project. If the change requires additional environmental review under CEQA or NEPA, the amendment must include an updated description consistent with Section 11 (Environmental Compliance), including the status of any revised or supplemental environmental document(s) and an anticipated completion timeline. Depending on the nature and extent of the change, the Commission may apply any of the review and approval procedures set forth in these guidelines to the amendment. The Commission will approve the amendment if it finds that the revised project still meets the requirements set forth in this guidance.

Reporting

14. Commission Report to
the Legislature

15. Sponsoring Agency
Annual Report



Annual Reporting

Section 15.1 & 15.2, Page 10

15.1. Projects under Development

For projects the Commission has approved for tolling and that are still under development, the report must include, at a minimum, a discussion of the following:

- A. A progress report for the project.
- B. A comparison of the project baseline budget and the current or projected budget.
- C. A comparison of the current or projected schedule and the baseline schedule.

15.2. Toll Facilities in Operation

For toll facilities in operation, the report must include, at a minimum, a discussion of the following:

- A. An analysis of the toll facility's actual performance metrics compared to the corridor performance improvement metrics that were used to justify the project in the application.
- B. A summary of total toll revenues collected during the reporting period, total outstanding debt obligations, and all debt service payments made during the period.
- C. A summary of excess revenue expenditures during the reporting period, including a description of how those expenditures are consistent with the adopted expenditure plan.
- D. A discussion of any other issues the sponsoring agency identified and actions taken to address those issues.

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Q&A

Next Steps

- Public comment period – October 16
- Requested feedback for posted draft – September 25
- Draft guidelines – October 2026 Meeting
- Commission adoption – December 2026 Meeting
- Questions – David Giongco, david.giongco@catc.ca.gov