

ROAD REPAIR AND ACCOUNTABILITY ACT OF 2017

PROJECT BASELINE AGREEMENT

Ferguson Slide Rock Shed Construction (EA 10-0P921)

Resolution

SHOPP - P - 1819 - 11B

(will be completed by CTC)

**1. FUNDING PROGRAM**

- Active Transportation Program
- Local Partnership Program (Competitive)
- Solutions for Congested Corridors Program
- State Highway Operation and Protection Program
- Trade Corridor Enhancement Program

**2. PARTIES AND DATE**

2.1 This Project Baseline Agreement (Agreement) for the *Ferguson Slide Rock Shed Construction (EA 10-0P921)*, effective on, 3-14-19 (will be completed by CTC), is made by and between the California Transportation Commission (Commission), the California Department of Transportation (Caltrans), the Project Applicant, *Caltrans*, and the Implementing Agency, *Caltrans*, sometimes collectively referred to as the "Parties".

**3. RECITAL**

- 3.2 Whereas at its March 22, 2018 meeting the Commission approved the State Highway Operation and Protection Program, and included in this program of projects the *Ferguson Slide Rock Shed Construction (EA 10-0P921)*, the parties are entering into this Project Baseline Agreement to document the project cost, schedule, scope and benefits, as detailed on the Project Programming Request Form attached hereto as Exhibit A and the Project Report attached hereto as Exhibit B, as the baseline for project monitoring by the Commission.
- 3.3 The undersigned Project Applicant certifies that the funding sources cited are committed and expected to be available; the estimated costs represent full project funding; and the scope and description of benefits is the best estimate possible.

**4. GENERAL PROVISIONS**

The Project Applicant, Implementing Agency, and Caltrans agree to abide by the following provisions:

- 4.1 To meet the requirements of the Road Repair and Accountability Act of 2017 (Senate Bill [SB] 1, Chapter 5, Statutes of 2017) which provides the first significant, stable, and on-going increase in state transportation funding in more than two decades.
- 4.2 To adhere, as applicable, to the provisions of the Commission:
- Resolution *Insert Number*, "Adoption of Program of Projects for the Active Transportation Program", dated
  - Resolution *Insert Number*, "Adoption of Program of Projects for the Local Partnership Program", dated
  - Resolution *Insert Number*, "Adoption of Program of Projects for the Solutions for Congested Corridors Program", dated
  - Resolution G-18-13, "Adoption of Program of Projects for the State Highway Operation and Protection Program", dated March 22, 2018
  - Resolution *Insert Number*, "Adoption of Program of Projects for the Trade Corridor Enhancement Program", dated

- 4.3 All signatories agree to adhere to the Commission's State Highway Operation and Protection Program, Guidelines. Any conflict between the programs will be resolved at the discretion of the Commission.
- 4.4 All signatories agree to adhere to the Commission's SB 1 Accountability and Transparency Guidelines and policies, and program and project amendment processes.
- 4.5 Caltrans agrees to secure funds for any additional costs of the project.
- 4.6 Caltrans agrees to report on a quarterly basis; after July 2019, reports will be on a semi-annual basis on the progress made toward the implementation of the project, including scope, cost, schedule, outcomes, and anticipated benefits.
- 4.7 Caltrans agrees to prepare program progress reports on a quarterly basis; after July 2019, reports will be on a semi-annual basis and include information appropriate to assess the current state of the overall program and the current status of each project identified in the program report.
- 4.8 Caltrans agrees to submit a timely Completion Report and Final Delivery Report as specified in the Commission's SB 1 Accountability and Transparency Guidelines.
- 4.9 All signatories agree to maintain and make available to the Commission and/or its designated representative, all work related documents, including without limitation engineering, financial and other data, and methodologies and assumptions used in the determination of project benefits during the course of the project, and retain those records for four years from the date of the final closeout of the project. Financial records will be maintained in accordance with Generally Accepted Accounting Principles.
- 4.10 The Transportation Inspector General of the Independent Office of Audits and Investigations has the right to audit the project records, including technical and financial data, of the Department of Transportation, the Project Applicant, the Implementing Agency, and any consultant or sub-consultants at any time during the course of the project and for four years from the date of the final closeout of the project, therefore all project records shall be maintained and made available at the time of request. Audits will be conducted in accordance with Generally Accepted Government Auditing Standards.

## 5. SPECIFIC PROVISIONS AND CONDITIONS

### 5.1 Project Schedule and Cost

See Project Programming Request Form, attached as Exhibit A.

### 5.2 Project Scope

See Project Report or equivalent, attached as Exhibit B. At a minimum, the attachment shall include the cover page, evidence of approval, executive summary, and a link to or electronic copy of the full document.

### 5.3 Other Project Specific Provisions and Conditions

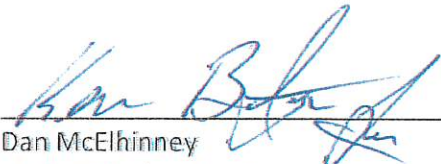
## **Attachments:**

Exhibit A: Project Programming Request Form

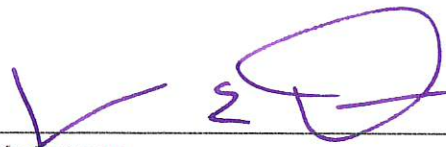
Exhibit B: Project Report

SIGNATURE PAGE  
TO  
PROJECT BASELINE AGREEMENT  
Ferguson Slide Rock Shed (EA 10-0P921)

Resolution SITOPP-P-1819-11B

  
\_\_\_\_\_  
Dan McElhinney  
Acting District Director  
California Department of Transportation

1/18/19  
Date

  
\_\_\_\_\_  
Laurie Berman  
Director  
California Department of Transportation

for

3/1/19  
Date

  
\_\_\_\_\_  
Susan Bransen  
Executive Director  
California Transportation Commission

3/19/19  
Date

Baseline agreement information was extracted from Caltrans' project data systems. Project description, funding and performance measures are from CTIPS. Project delivery milestones are from PRSM. All information is current and accurate.

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

BASELINE AGREEMENT

|       |                      |
|-------|----------------------|
| Date: | 01/17/19 10:06:33 AM |
|-------|----------------------|

|          |       |                |              |                     |          |
|----------|-------|----------------|--------------|---------------------|----------|
| District | EA    | Project ID     | PPNO         | Project Manager     |          |
| 10       | 0P921 | 1014000148     | 0280         | HUIE, MIMI Y        |          |
| County   | Route | Begin Postmile | End Postmile | Implementing Agency |          |
| MPA      | 140   | 42.0           | 42.7         | PA&ED               | Caltrans |
|          |       |                |              | PS&E                | Caltrans |
|          |       |                |              | Right of Way        | Caltrans |
|          |       |                |              | Construction        | Caltrans |

Project Nickname

Ferguson Slide Rock Shed Construction

Location/Description

Near El Portal and Yosemite National Park, at 0.5 miles west of South Fork Merced River. Construct rock shed. (PS&E, RW Sup Only)

Legislative Districts

|           |    |         |    |                |    |
|-----------|----|---------|----|----------------|----|
| Assembly: | 05 | Senate: | 08 | Congressional: | 04 |
|-----------|----|---------|----|----------------|----|

PERFORMANCE MEASURES

|                      | Primary Asset                        | Good | Fair | Poor | New | Total | Units     |
|----------------------|--------------------------------------|------|------|------|-----|-------|-----------|
| Existing Condition   | Major Damage (Permanent Restoration) |      |      | 1    |     | 1     | Locations |
| Programmed Condition | Major Damage (Permanent Restoration) | 1    |      |      |     | 1     | Locations |

Project Milestone

|   | Actual | Planned  |
|---|--------|----------|
| Project Approval and Environmental Document Milestone |        | 01/29/14 |
| Right of Way Certification Milestone                  |        | 11/01/21 |
| Ready to List for Advertisement Milestone             |        | 12/15/21 |
| Begin Construction Milestone (Approve Contract)       |        | 05/16/22 |

FUNDING (Allocated amounts are shaded)

| Component       | Fiscal Year | SHOPP   |  |  |  | Total   |
|-----------------|-------------|---------|--|--|--|---------|
| PA&ED           |             |         |  |  |  | 0       |
| PS&E            | 18/19       | 5,500   |  |  |  | 5,500   |
| RW Support *    | 18/19       | 100     |  |  |  | 100     |
| Const Support * | 21/22       | 17,500  |  |  |  | 17,500  |
| RW Capital *    | 19/20       | 1,500   |  |  |  | 1,500   |
| Const Capital * | 21/22       | 175,000 |  |  |  | 175,000 |
| Total           |             | 199,600 |  |  |  | 199,600 |

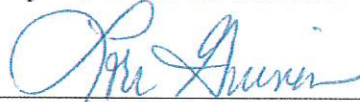
\*Not Programmed

10-Mpa-140-42.0/42.7  
(10-0P9200) 1000000198 PPNO 0280  
Major Damage (20.10.201.131)  
Permanent Restoration  
January 2014

## PROJECT REPORT

On Route 140  
Between 1.2 miles west of South Fork Merced River Bridge  
And 0.5 miles west of South Fork Merced River Bridge

*I have reviewed the right of way information contained in this report and the R/W Data Sheet attached hereto, and find the data to be complete, current and accurate:*



\_\_\_\_\_  
LORI GUINAN, CENTRAL REGION  
ACTING DIVISION CHIEF, RIGHT OF WAY

APPROVAL RECOMMENDED:



\_\_\_\_\_  
GRACE MAGSAYO, PROJECT MANAGER

APPROVED:

  
\_\_\_\_\_  
AMARJEET S. BENIPAL ACTING DISTRICT 10 DIRECTOR

January 29, 2014  
DATE

### Vicinity Map

