# STATE ROUTE 99 BOND PROGRAM
## PROJECT BASELINE AGREEMENT

## 1. PARTIES AND DATE

**1.1** This Project Baseline Agreement (Agreement) for the Madera South Widening, effective on _____, is made by and between the California Transportation Commission (Commission), and the California Department of Transportation (Caltrans), sometimes collectively referred to as the "Parties".

## 2. RECITAL

**2.1** Whereas at its March 25, 2020, meeting, the California Transportation Commission amended the State Route 99 Bond Program and included in this program of projects the Madera South Widening project. The parties are entering into this Project Baseline Agreement to document the project cost, schedule, scope and benefits, as detailed on the Project Programming Request Form, as the baseline for project monitoring by the California Transportation Commission. Caltrans certify that the funding sources cited are committed and expected to be available; the estimated costs represent full project funding; and the scope and description of benefits is the best estimate possible.

## 3. GENERAL PROVISIONS

The Regional Agency and Caltrans agree to abide by the following provisions:

**3.1** To meet the requirements of Government Code Section 8879.23(c)(1), as added by Proposition 1B, and to Government Code Section 8879.50, as enacted through implementing legislation in 2007 (Senate Bill 88 and Assembly Bill 193).

**3.2** To adhere to the provisions of the California Transportation Commission's State Route 99 Bond Guidelines.

**3.3** To adhere to the California Transportation Commission's Accountability Implementation Plan and policies, and program and baseline amendment processes.

**3.4** Any change to the funding commitments outlined in this agreement requires an amendment.

**3.5** To report to the California Transportation Commission on a quarterly basis on the progress made toward the implementation of the project, including scope, cost, and schedule.

**3.6** To maintain and make available to the California Transportation Commission and/or its designated representative, all work related documents, including engineering and financial data, during the course of the project and retain those records for four years from the date of the final closeout of the project. Financial

records will be maintained in accordance with Generally Accepted Accounting Principles.

3.7 The California Transportation Commission and/or its designated representative, has the right to audit the project records, including technical and financial data, of the Department of Transportation, the Sponsoring Agency, and any subconsultants at any time during the course of the project and for four years from the date of the final closeout of the project. Audits with be conducted in accordance with Generally Accepted Government Auditing Standards.

## 4. SPECIFIC PROVISIONS AND CONDITIONS

### 4.1 Project Schedule and Cost

See Project Programming Request Form, attached as Exhibit A.

**SIGNATURE PAGE**
**TO**
**STATE ROUTE 99 BOND PROGRAM**
**PROJECT BASELINE AGREEMENT**

Sharri Bender Ehlert 04/30/2020

**Sharri Bender Ehlert** **Date**
**District 6 Director**

6.9.20

**Toks Omishakin** **Date**
**Director**
**California Department of Transportation**

**Mitch Weiss** **Date**
**Executive Director**
**California Transportation Commission**

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

**PROJECT PROGRAMMING REQUEST**

DTP-0001 (Revised Mar, 1 2018 v7.08)

*General Instructions*

| Amendment (Existing Project) Y/N | | | | | Date: | 03/29/19 |
|---|---|---|---|---|---|---|
| **District** | **EA** | **Project ID** | **PPNO** | **MPO ID** | **Alt Proj. ID / prg.** | |
| 06 | 0H220 | 0612000158 | 6297 | | | |

| County | Route/Corridor | PM Bk | PM Ahd | Project Sponsor/Lead Agency | |
|---|---|---|---|---|---|
| MAD | 99 | 1.7 | R7.5 | Caltrans | |
| | | | | **MPO** | **Element** |
| | | | | Madera | CO |

| Project Manager/Contact | Phone | E-mail Address |
|---|---|---|
| Anand Kapoor | (559)243-3588 | anand.kapoor@dot.ca.gov |

**Project Title**

South Madera 6 Lane

**Location (Project Limits), Description ( Scope of Work)**

Near the city of Madera, from 0.7 mile north of Avenue 7 to Avenue 12. Relieve traffic congestion.

| Component | Implementing Agency |
|---|---|
| PA&ED | Caltrans |
| PS&E | Caltrans |
| Right of Way | Caltrans |
| Construction | Caltrans |

**Legislative Districts**

| Assembly: | 5 | Senate: | 12 | Congressional: | 16 |
|---|---|---|---|---|---|

**Project Benefits**

The improvement would reduce traffic congestion and improve traffic safety.

**Purpose and Need**

The pupose of this project is to reduce congestion, increase connectivity of the highway system, and preserve acceptable facility operation of Route 99. Demand for this facility is increasing due to the regional population growth and recent development in the area. This project is needed to address a projected capacity problem and low Level of Service.

| Category | Outputs/Outcomes | Unit | Total |
|---|---|---|---|
| State Highway Road Construction | Mixed flow lane-miles constructed | Miles | 11.6 |
| | | | |
| | | | |
| | | | |

| ADA Improvements Yes | Bike/Ped Improvements Yes | Reversible Lane analysis Yes |
|---|---|---|
| Inc. Sustainable Communities Strategy Goals No | Reduces Greenhouse Gas Emissions Yes | |

| Project Milestone | | | Existing | Proposed |
|---|---|---|---|---|
| Project Study Report Approved | | | | |
| Begin Environmental (PA&ED) Phase | | | 10/01/2018 | 05/01/19 |
| Circulate Draft Environmental Document | Document Type | ND/FONSI | 10/01/2020 | 10/01/21 |
| Draft Project Report | | | 10/01/2020 | 09/01/21 |
| End Environmental Phase (PA&ED Milestone) | | | 01/03/2021 | 05/01/22 |
| Begin Design (PS&E) Phase | | | 10/01/2021 | 05/01/22 |
| End Design Phase (Ready to List for Advertisement Milestone) | | | 10/01/2023 | 05/02/24 |
| Begin Right of Way Phase | | | 10/01/2021 | 05/01/22 |
| End Right of Way Phase (Right of Way Certification Milestone) | | | 09/01/2023 | 05/01/24 |
| Begin Construction Phase (Contract Award Milestone) | | | 05/01/2024 | 02/01/25 |
| End Construction Phase (Construction Contract Acceptance Milestone) | | | 07/01/2026 | 02/01/27 |
| Begin Closeout Phase | | | 07/01/2026 | 02/01/27 |
| End Closeout Phase (Closeout Report) | | | 07/01/2029 | 02/01/30 |

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## Additional Information

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

# PROJECT PROGRAMMING REQUEST

DTP-0001 (Revised Mar, 1 2018 v7.08)

**Date:** 03/29/19

| District | County | Route | EA | Project ID | PPNO | Alt. ID |
|---|---|---|---|---|---|---|
| 06 | MAD | 99, , | 0H220 | 0612000158 | 6297 | |

**Project Title:** South Madera 6 Lane

| Existing Total Project Cost ($1,000s) | | | | | | | | | Implementing Agency |
|---|---|---|---|---|---|---|---|---|---|
| Component | Prior | 20-21 | 21-22 | 22-23 | 23-24 | 24-25 | 25-26+ | Total | |
| E&P (PA&ED) | 3,413 | | | | | | | 3,413 | Caltrans |
| PS&E | | | 9,000 | | | | | 9,000 | Caltrans |
| R/W SUP (CT) | | | | | 4,000 | | | 4,000 | Caltrans |
| CON SUP (CT) | | | | | 12,500 | | | 12,500 | Caltrans |
| R/W | | | | | 12,000 | | | 12,000 | Caltrans |
| CON | | | | | 147,000 | | | 147,000 | Caltrans |
| TOTAL | 3,413 | | 9,000 | | 175,500 | | | 187,913 | |
| **Proposed Total Project Cost ($1,000s)** | | | | | | | | | **Notes** |
| E&P (PA&ED) | 3,413 | | | | | | | 3,413 | |
| PS&E | | | 9,460 | | | | | 9,460 | |
| R/W SUP (CT) | | | | | | | 4,000 | 4,000 | |
| CON SUP (CT) | | | | | | | 12,500 | 12,500 | |
| R/W | | | | | | | 12,000 | 12,000 | |
| CON | | | | | | | 147,000 | 147,000 | |
| TOTAL | 3,413 | | 9,460 | | | | 175,500 | 188,373 | |

| Fund No. 1: | IIP - National Hwy System (NH) | | | | | | | | Program Code |
|---|---|---|---|---|---|---|---|---|---|
| **Existing Funding ($1,000s)** | | | | | | | | | 20.XX.025.700 |
| Component | Prior | 20-21 | 21-22 | 22-23 | 23-24 | 24-25 | 25-26+ | Total | **Funding Agency** |
| E&P (PA&ED) | 3,413 | | | | | | | 3,413 | Caltrans |
| PS&E | | | 9,000 | | | | | 9,000 | PA&ED budget of $3,413k shown here includes $413k in sunk costs. These sunk costs were reported in the 2016 ITIP. The total sunk cost before the restart of PA&ED was $1.2M. |
| R/W SUP (CT) | | | | | | | | | |
| CON SUP (CT) | | | | | | | | | |
| R/W | | | | | | | | | |
| CON | | | | | | | | | |
| TOTAL | 3,413 | | 9,000 | | | | | 12,413 | |
| **Proposed Funding ($1,000s)** | | | | | | | | | **Notes** |
| E&P (PA&ED) | 3,413 | | | | | | | 3,413 | |
| PS&E | | | 6,400 | | | | | 6,400 | |
| R/W SUP (CT) | | | | | | | | | |
| CON SUP (CT) | | | | | | | | | |
| R/W | | | | | | | | | |
| CON | | | | | | | | | |
| TOTAL | 3,413 | | 6,400 | | | | | 9,813 | |

| Fund No. 2: | Future Need - Future Funds (NO-FUND) | | | | | | | | Program Code |
|---|---|---|---|---|---|---|---|---|---|
| **Existing Funding ($1,000s)** | | | | | | | | | FUTURE |
| Component | Prior | 20-21 | 21-22 | 22-23 | 23-24 | 24-25 | 25-26+ | Total | **Funding Agency** |
| E&P (PA&ED) | | | | | | | | | |
| PS&E | | | | | | | | | |
| R/W SUP (CT) | | | | | 4,000 | | | 4,000 | |
| CON SUP (CT) | | | | | 12,500 | | | 12,500 | |
| R/W | | | | | 12,000 | | | 12,000 | |
| CON | | | | | 147,000 | | | 147,000 | |
| TOTAL | | | | | 175,500 | | | 175,500 | |
| **Proposed Funding ($1,000s)** | | | | | | | | | **Notes** |
| E&P (PA&ED) | | | | | | | | | |
| PS&E | | | | | | | | | |
| R/W SUP (CT) | | | | | | | 4,000 | 4,000 | |
| CON SUP (CT) | | | | | | | 12,500 | 12,500 | |
| R/W | | | | | | | 12,000 | 12,000 | |
| CON | | | | | | | 147,000 | 147,000 | |
| TOTAL | | | | | | | 175,500 | 175,500 | |

| Fund No. 3: | Proposition 1B - State Route 99 | | | | | | | | Program Code |
|---|---|---|---|---|---|---|---|---|---|
| Existing Funding ($1,000s) | | | | | | | | | |
| Component | Prior | 20-21 | 21-22 | 22-23 | 23-24 | 24-25 | 25-26+ | Total | Funding Agency |
| E&P (PA&ED) | | | | | | | | | |
| PS&E | | | | | | | | | |
| R/W SUP (CT) | | | | | | | | | |
| CON SUP (CT) | | | | | | | | | |
| R/W | | | | | | | | | |
| CON | | | | | | | | | |
| TOTAL | | | | | | | | | |
| Proposed Funding ($1,000s) | | | | | | | | | Notes |
| E&P (PA&ED) | | | | | | | | | |
| PS&E | | | 3,060 | | | | | 3,060 | |
| R/W SUP (CT) | | | | | | | | | |
| CON SUP (CT) | | | | | | | | | |
| R/W | | | | | | | | | |
| CON | | | | | | | | | |
| TOTAL | | | 3,060 | | | | | 3,060 | |

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

# PROJECT PROGRAMMING REQUEST

DTP-0001 (Revised Mar, 1 2018 v7.08)

***Complete this page for amendments only***

**Date:** 03/29/19

| District | County | Route | EA | Project ID | PPNO | Alt. ID |
|---|---|---|---|---|---|---|
| 06 | MAD | 99 | 0H220 | 0612000158 | 6297 | |

## SECTION 1 - All Projects

**Project Background**

**Programming Change Requested**

**Reason for Proposed Change**

**If proposed change will delay one or more components, clearly explain 1) reason the delay, 2) cost increase related to the delay, and 3) how cost increase will be funded**

**Other Significant Information**

## SECTION 2 - For SB1 Projects Only

Project Amendment Request (Please follow the individual SB1 program guidelines for specific criteria)

## SECTION 3 - All Projects

**Approvals**

I hereby certify that the above information is complete and accurate and all approvals have been obtained for the processing of this amendment request.*

| Name (Print or Type) | Signature | Title | Date |
|---|---|---|---|
| | | | |

**Attachments**

1) Concurrence from Implementing Agency and/or Regional Transportation Planning Agency
2) Project Location Map