

ROAD REPAIR AND ACCOUNTABILITY ACT OF 2017

PROJECT BASELINE AGREEMENT

Santa Rosa US Highway 101 Bicycle and Pedestrian Overcrossing

Resolution ATP-P-2122-03B

(will be completed by CTC)

1. FUNDING PROGRAM

- ☒ Active Transportation Program
- ☐ Local Partnership Program (Competitive)
- ☐ Solutions for Congested Corridors Program
- ☐ State Highway Operation and Protection Program
- ☐ Trade Corridor Enhancement Program

2. PARTIES AND DATE

- 2.1 This Project Baseline Agreement (Agreement) for the *Santa Rosa US Highway 101 Bicycle and Pedestrian Overcrossing*, effective on, December 8, 2021 (will be completed by CTC), is made by and between the California Transportation Commission (Commission), the California Department of Transportation (Caltrans), the Project Applicant, *the City of Santa Rosa*, and the Implementing Agency, *the City of Santa Rosa*, sometimes collectively referred to as the "Parties".

3. RECITAL

- 3.2 Whereas at its June 23, 2021 meeting the Commission approved the Active Transportation Program, and included in this program of projects the *Santa Rosa US Highway 101 Bicycle and Pedestrian Overcrossing*, the parties are entering into this Project Baseline Agreement to document the project cost, schedule, scope and benefits, as detailed on the Project Programming Request Form attached hereto as Exhibit A and the Project Report attached hereto as Exhibit B, as the baseline for project monitoring by the Commission.
- 3.3 The undersigned Project Applicant certifies that the funding sources cited are committed and expected to be available; the estimated costs represent full project funding; and the scope and description of benefits is the best estimate possible.

4. GENERAL PROVISIONS

The Project Applicant, Implementing Agency, and Caltrans agree to abide by the following provisions:

- 4.1 To meet the requirements of the Road Repair and Accountability Act of 2017 (Senate Bill [SB] 1, Chapter 5, Statutes of 2017) which provides the first significant, stable, and on-going increase in state transportation funding in more than two decades.
- 4.2 To adhere, as applicable, to the provisions of the Commission:
- ☒ Resolution G-21-46, "Adoption of Program of Projects for the Active Transportation Program", dated June 23, 2021
- ☐ Resolution *Insert Number*, "Adoption of Program of Projects for the Local Partnership Program", dated
- ☐ Resolution *Insert Number*, "Adoption of Program of Projects for the Solutions for Congested Corridors Program", dated
- ☐ Resolution *Insert Number*, "Adoption of Program of Projects for the State Highway Operation and Protection Program", dated
- ☐ Resolution *Insert Number*, "Adoption of Program of Projects for the Trade Corridor Enhancement Program", dated

- 4.3 All signatories agree to adhere to the Commission's Active Transportation Program, Guidelines. Any conflict between the programs will be resolved at the discretion of the Commission.
- 4.4 All signatories agree to adhere to the Commission's SB 1 Accountability and Transparency Guidelines and policies, and program and project amendment processes.
- 4.5 The City of Santa Rosa agrees to secure funds for any additional costs of the project.
- 4.6 The City of Santa Rosa agrees to report to Caltrans on a quarterly basis; after July 2019, reports will be on a semi-annual basis on the progress made toward the implementation of the project, including scope, cost, schedule, outcomes, and anticipated benefits.
- 4.7 Caltrans agrees to prepare program progress reports on a quarterly basis; after July 2019, reports will be on a semi-annual basis and include information appropriate to assess the current state of the overall program and the current status of each project identified in the program report.
- 4.8 The City of Santa Rosa agrees to submit a timely Completion Report and Final Delivery Report as specified in the Commission's SB 1 Accountability and Transparency Guidelines.
- 4.9 All signatories agree to maintain and make available to the Commission and/or its designated representative, all work related documents, including without limitation engineering, financial and other data, and methodologies and assumptions used in the determination of project benefits during the course of the project, and retain those records for four years from the date of the final closeout of the project. Financial records will be maintained in accordance with Generally Accepted Accounting Principles.
- 4.10 The Transportation Inspector General of the Independent Office of Audits and Investigations has the right to audit the project records, including technical and financial data, of the Department of Transportation, the Project Applicant, the Implementing Agency, and any consultant or sub-consultants at any time during the course of the project and for four years from the date of the final closeout of the project, therefore all project records shall be maintained and made available at the time of request. Audits will be conducted in accordance with Generally Accepted Government Auditing Standards.

5. SPECIFIC PROVISIONS AND CONDITIONS

5.1 Project Schedule and Cost

See Project Programming Request Form, attached as Exhibit A.

5.2 Project Scope

See Project Report or equivalent, attached as Exhibit B. At a minimum, the attachment shall include the cover page, evidence of approval, executive summary, and a link to or electronic copy of the full document.

5.3 Other Project Specific Provisions and Conditions

Attachments:

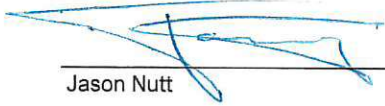
Exhibit A: Project Programming Request Form

Exhibit B: Project Report

SIGNATURE PAGE
TO
PROJECT BASELINE AGREEMENT

Santa Rosa US Highway 101 Bicycle and Pedestrian Overcrossing

Resolution ATP-P-2122-03B

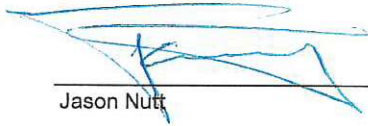


Jason Nutt

9/14/21
Date

Assistant City Manager/Transportation and Public Works Director

Project Applicant



Jason Nutt

9/14/21
Date

Assistant City Manager/Transportation and Public Works Director

Implementing Agency



Dina El-Tawansy

10/21/2021

Date

District Director

California Department of Transportation



Toks Omishakin

12.13.21

Date

Director

California Department of Transportation



Mitchell Weiss

4/21/22

Date

Executive Director

California Transportation Commission

PROJECT PROGRAMMING REQUEST

DTP-0001 (Revised 19 Feb 2020 v8.01j - ATP)

General Instructions

Amendment (Existing Project) Y/N					Date:	10/18/21
District	EA	Project ID		PPNO	MPO ID	
04	2G340	0413000213		2354		
County	Route/Corridor	PM Bk	PM Ahd	Nominating Agency		
SON	101	21.5	21.5	City of Santa Rosa		
				MPO	Element	
				MTC	Capital Outlay	
Project Manager/Contact		Phone		E-mail Address		
Nancy Adams				Nadams@srcity.org		
Project Title						
Santa Rosa US Highway 101 Bicycle and Pedestrian Overcrossing						
Location (Project Limits), Description (Scope of Work)						
In the City of Santa Rosa, Sonoma County, over US 101, north of College Avenue near Santa Rosa College, Santa Rosa High School and Coddington Mall.						
Construct a Class I ADA accessible and mode-separated bicycle and pedestrian overcrossing over US Highway 101, including new crosswalk, curb ramps and pedestrian lighting.						
Component						
		Implementing Agency				
PA&ED		City of Santa Rosa				
PS&E		City of Santa Rosa				
Right of Way		City of Santa Rosa				
Construction		City of Santa Rosa				
Legislative Districts						
Assembly:	2	Senate:	2	Congressional:	5	
Project Benefits						
One new crosswalk, two new curb ramps, two reconstructed curb ramps, one modified driveway, and a pedestrian overcrossing over Highway 101						
Purpose and Need						
Purpose: Provide a safe alternative for bicyclists and pedestrians crossing US 101 in the vicinity of schools and transit and provide a continuous and ADA-compliant pedestrian and bicycle path to improve east-west connectivity across US 101 in the northern half of the City.						
See Page 2 for Need						
Category		Outputs			Unit	Total
Active Transportation		Pedestrian bridge			LF	1,120
Active Transportation		Bicycle lane-miles			LF	1,300
Active Transportation		Sidewalk miles			LF	650
NHS Improvements	No	Roadway Class	NA	Reversible Lane analysis	No	
Inc. Sustainable Communities Strategy Goals		Yes		Reduces Greenhouse Gas Emissions		Yes
Project Milestone					Existing	Proposed
Project Study Report Approved					09/14/20	
Begin Environmental (PA&ED) Phase						01/02/18
Circulate Draft Environmental Document			Document Type	ND/CE		06/22/20
Draft Project Report						06/19/20
End Environmental Phase (PA&ED Milestone)						02/08/21
Begin Design (PS&E) Phase						05/03/21
End Design Phase (Ready to List for Advertisement Milestone)						08/24/23
Begin Right of Way Phase						03/03/22
End Right of Way Phase (Right of Way Certification Milestone)						06/21/23
Begin Construction Phase (Contract Award Milestone)						10/18/23
End Construction Phase (Construction Contract Acceptance Milestone)						05/21/25
Begin Closeout Phase						05/22/25
End Closeout Phase (Closeout Report)						08/20/25

ADA Notice

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento,

PROJECT PROGRAMMING REQUEST

DTP-0001 (Revised 19 Feb 2020 v8.01j - ATP)

Date: 10/18/21

Additional Information

The "pedestrian/bicycle facilities miles constructed" for the overcrossing's mode-separated Class I pathway includes a dedicated 5-foot wide walking lane and 8-foot wide two-way cycle track

Need: Lack of bicycle and pedestrian connectivity in the northern half of the City of Santa Rosa between the residential and commercial areas west of U.S. 101 and the academic, residential, commercial and recreational areas east of US 101. US 101 creates a barrier between the existing and proposed bikeways on both sides of the freeway. Steele Lane and College Avenue are approximately one mile apart and provide the only crossings of US 101 for approximately two miles. This distance exceeds the comfortable range for pedestrians and many cyclists.

ADA Notice

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

PROJECT PROGRAMMING REQUEST

DTP-0001 (Revised 19 Feb 2020 v8.01j - ATP)

Date: 10/18/21

District	County	Route	EA	Project ID	PPNO	
04	SON	101	2G340	0413000213	2354	
Project Title: Santa Rosa US Highway 101 Bicycle and Pedestrian Overcrossing						

Existing Total Project Cost (\$1,000s)									Implementing Agency
Component	Prior	20-21	21-22	22-23	23-24	24-25	25-26+	Total	
E&P (PA&ED)									City of Santa Rosa
PS&E									City of Santa Rosa
R/W SUP (CT)									City of Santa Rosa
CON SUP (CT)									City of Santa Rosa
R/W									City of Santa Rosa
CON									City of Santa Rosa
TOTAL									
Proposed Total Project Cost (\$1,000s)									Notes
Component	Prior	20-21	21-22	22-23	23-24	24-25	25-26+	Total	
E&P (PA&ED)	600	700						1,300	
PS&E			700	1,000				1,700	
R/W SUP (CT)			1,175	1,175				2,350	
CON SUP (CT)									
R/W									
CON					22,250			22,250	
TOTAL	600	700	1,875	2,175	22,250			27,600	

Fund No. 1:	Local Funds								Program Code
Existing Funding (\$1,000s)									
Component	Prior	20-21	21-22	22-23	23-24	24-25	25-26+	Total	Funding Agency
E&P (PA&ED)									City of Santa Rosa
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
TOTAL									
Proposed Funding (\$1,000s)									Notes
E&P (PA&ED)	600	700						1,300	Original application noted 1,600 in proposed PA&ED funding. Actual is shown as 1,300
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
TOTAL	600	700						1,300	

Fund No. 2:	OBAG 2								Program Code
Existing Funding (\$1,000s)									
Component	Prior	20-21	21-22	22-23	23-24	24-25	25-26+	Total	Funding Agency
E&P (PA&ED)									MTC
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
TOTAL									
Proposed Funding (\$1,000s)									Notes
E&P (PA&ED)									
PS&E			700	1,000				1,700	
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
TOTAL			700	1,000				1,700	

Fund No. 3:	ATP								Program Code
Existing Funding (\$1,000s)									
Component	Prior	20-21	21-22	22-23	23-24	24-25	25-26+	Total	Funding Agency
E&P (PA&ED)									Caltrans
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
TOTAL									
Proposed Funding (\$1,000s)									Notes
E&P (PA&ED)									
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON					12,000			12,000	
TOTAL					12,000			12,000	

Fund No. 4:	SB1 - Local Streets and Roads								Program Code
Existing Funding (\$1,000s)									
Component	Prior	20-21	21-22	22-23	23-24	24-25	25-26+	Total	Funding Agency
E&P (PA&ED)									City of Santa Rosa
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
TOTAL									
Proposed Funding (\$1,000s)									Notes
E&P (PA&ED)									
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON					1,550			1,550	
TOTAL					1,550			1,550	

Fund No. 5:		OBAB3							Program Code	
Existing Funding (\$1,000s)										
Component	Prior	20-21	21-22	22-23	23-24	24-25	25-26+	Total	Funding Agency	
E&P (PA&ED)									MTC	
PS&E										
R/W SUP (CT)										
CON SUP (CT)										
R/W										
CON										
TOTAL										
Proposed Funding (\$1,000s)									Notes	
E&P (PA&ED)										
PS&E										
R/W SUP (CT)										
CON SUP (CT)										
R/W										
CON					8,000			8,000		
TOTAL					8,000			8,000		

Fund No. 6:	Gas Tax								Program Code
Existing Funding (\$1,000s)									
Component	Prior	20-21	21-22	22-23	23-24	24-25	25-26+	Total	Funding Agency
E&P (PA&ED)									City of Santa Rosa
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
TOTAL									
Proposed Funding (\$1,000s)									Notes
E&P (PA&ED)									
PS&E									
R/W SUP (CT)			1,175	1,175				2,350	
CON SUP (CT)									
R/W									
CON					150			150	
TOTAL			1,175	1,175	150			2,500	

PROJECT PROGRAMMING REQUEST

DTP-0001 (Revised 19 Feb 2020 v8.01j - ATP)

Complete this page for amendments only**Date:** 10/18/21

District	County	Route	EA	Project ID	PPNO	
04	SON	101	2G340	0413000213	2354	

SECTION 1 - All Projects**Project Background****Programming Change Requested****Reason for Proposed Change**

If proposed change will delay one or more components, clearly explain 1) reason the delay, 2) cost increase related to the delay, and 3) how cost increase will be funded

Other Significant Information**SECTION 2 - For SB1 Projects Only**

Project Amendment Request (Please follow the individual SB1 program guidelines for specific criteria)

SECTION 3 - All Projects**Approvals**

I hereby certify that the above information is complete and accurate and all approvals have been obtained for the processing of this amendment request.*

Name (Print or Type)	Signature	Title	Date

Attachments

- 1) Concurrence from Implementing Agency and/or Regional Transportation Planning Agency
- 2) Project Location Map

Active Transportation Program Benefits Form

Project Information		
Project Title:	Santa Rosa US Highway 101 Bicycle and Pedestrian Overcrossing	Date: 10/18/2021
Project Identifier (EA, PPNO, etc):	EA 04-2G340	

Contact Information	
Nominating Agency:	City of Santa Rosa
Agency Completing Form:	City of Santa Rosa
Contact Person:	Nancy Adams
Contact Person:	Nancy Adams Phone: (707) 543-3910
Email Address:	nadams@srcity.org
Email Address:	nadams@srcity.org

ATP Indicator	Measures/Outcomes	Unit	Current	Projected	
				Outcome	Year
Counts	Bicycle Counts	Each	NA	158	2026
	Pedestrian Counts	Each	NA	340	2026

In the space below, qualitatively explain the assumptions and methodologies used for the proposed outcomes.

Since this project will construct a new Class I overcrossing of US Highway 101 where a crossing does not currently exist, there is no current or initial user count data.

It is anticipated that after construction, this project will be used by community members jogging, walking or cycling for fitness; people from the adjacent residential areas who want to run an errand or shop on the other side of the freeway and prefer not to drive; people using the SMART pathway for north-south travel who connect to the overcrossing to go east-west; people using the SMART train to commute; and people accessing transit, schools, and services, or commuting to work on a bicycle. The proposed outcomes of bike/ped counts are based on the following data: 1) According to the CDC, bicycle trips account for 1% of all trips in the US. 1% of the daily vehicular traffic through the existing underpasses at Steele Lane and College Avenue is 830; 2) The Santa Rosa Bicycle and Pedestrian Master Plan states that the average number of daily cycling trips at the intersection of Steele Lane and Mendocino Avenue is 350; 3) A survey conducted by the Santa Rosa Junior College adjacent to the project indicated that 2.7% of students travel to campus by bicycle. Student enrollment at the Junior College's Santa Rosa Campus in 2020 was approximately 15,000. 2.7% of this enrollment total is 405; and, 4) The Santa Rosa Bicycle and Pedestrian Master Plan states that the average number of daily pedestrian trips at the intersection of Steele Lane and Mendocino Avenue is 679. It is assumed that the new overcrossing will attract at least 10% of the cyclists noted above and 50% of the number of pedestrians for the purposes of commuting.

It is important to note that the final counts are underestimates, since there is no method to estimate the number of recreational users of the project, or people who will use the overcrossing to pass between SRJC and the shopping mall to run a quick errand or have lunch. SRJC's new student housing building currently under construction adjacent to the project's east touchdown will also increase use of the overcrossing. Additionally, SRJC's survey indicated that 73% of all students, faculty, and staff would ride their bicycles more often if they faced fewer barriers. Furthermore, Steele Lane Elementary School, which is located within walking distance to the project, indicated that they would comprehensively participate in the State's Safe Routes to School program if it was safer to encourage walking and cycling to school. Therefore, the induced demand created by the new overcrossing following the project's construction cannot be estimated at this time. As a feature of the project's design, permanent counters will be installed on the overcrossing to enable the city to collect actual bicycle and pedestrian counts on an ongoing basis to inform future planning for active transportation. The information will be consistent with the ATP Interim Count Methodology.

Environmental Documents

The completed environmental documents for the project can be found at the links below:

IS/MND:  [Initial Study Santa Rosa Highway 101 Final ED 1.29.21 signed copy PAC3 compliant.pdf](#)

Project Report:  [PR 04-2G340_SON 101 City of Santa Rosa POC LCC approved March 22 2021.pdf](#)

NEPA CE:  [Santa Rosa BPOC CE_CE FORM January 2021Signed.pdf](#)