



# Road Repair and Accountability Act of 2017 Local Streets and Roads Funding Draft Reporting Guidelines Workshop

Tuesday, July 18, 2017  
9:00 AM to 12:00 PM

**Caltrans Headquarters Building**  
1120 N Street, Room 2116  
Sacramento, CA 95814

**Teleconference #:** (877) 411-9748  
**Participant Code:** 5283660

**WebEx Link:** <https://d20u3150ea-caltrans.webex.com/meet/vtc.webex1dot.ca.gov>

**WebEx Meeting ID:** 920 031 916

Online and Phone Participants Please Submit Questions during the workshop to [CTC@dot.ca.gov](mailto:CTC@dot.ca.gov)

## Agenda

1	Welcome and Introductions	Laura Pennebaker, <i>Associate Deputy Director</i> California Transportation Commission
2	Program Background	Laura Pennebaker California Transportation Commission
3	Overview of Draft Guidelines and Comments Received	Laura Pennebaker California Transportation Commission
4	Open Discussion of Draft Guidelines	All
5	Next Steps	Laura Pennebaker California Transportation Commission

The Local Streets and Roads Funding Draft Reporting Guidelines may be found online at: [http://www.catc.ca.gov/programs/SB\\_1/063017\\_Draft\\_LSR\\_Program\\_Reporting\\_Guidelines.pdf](http://www.catc.ca.gov/programs/SB_1/063017_Draft_LSR_Program_Reporting_Guidelines.pdf). For more information including information on upcoming workshops, visit the Commission's SB 1 webpage at: <http://catc.ca.gov/programs/SB1.html>. More information about the California Transportation Commission may be found at: <http://www.catc.ca.gov/>.

**CALIFORNIA TRANSPORTATION COMMISSION STAFF CONTACT:** Questions about the Local Streets and Roads Funding Draft Reporting Guidelines may be directed to Commission's Associate Deputy Director, Laura Pennebaker, at (916) 653-7121 or [Laura.Pennebaker@dot.ca.gov](mailto:Laura.Pennebaker@dot.ca.gov) or Commission's Deputy Director for Legislation and Finance, Eric Thronson at (916) 654-7179 or [Eric.Thronson@dot.ca.gov](mailto:Eric.Thronson@dot.ca.gov).

# **Road Repair and Accountability Act of 2017 Local Streets and Roads Funding Draft Annual Reporting Guidelines Workshop**

**Laura Pennebaker  
Associate Deputy Director  
California Transportation Commission**

**Questions on the phone or WebEx?  
Please email them to: [ctc@dot.ca.gov](mailto:ctc@dot.ca.gov)**

## Statutory Intent of SB 1: The Road Repair and Accountability Act of 2017

- Provides new revenues so that cities and counties can address the enormous shortfall of funding needed to adequately maintain the existing network of local streets and roads which is necessary to protect our economy, our environment, and our quality of life.
- Provides a balance of new revenues and reasonable reforms to ensure efficiency, accountability, and performance from each dollar invested in the transportation system.

## Program Overview – Local Streets and Roads

- Provides new funding from Road Maintenance and Rehabilitation Account (RMRA) for monthly apportionment to cities and counties by the State Controller to be used for road maintenance, rehabilitation and critical safety projects.
- Requires basic annual project reporting to the Commission.

## Commission's Role

- Compiling and sharing general project information
- Promoting transparency and accountability
- Reporting Guidelines

THE ROAD REPAIR AND  
ACCOUNTABILITY ACT OF 2017 -  
LOCAL STREETS AND ROADS FUNDING  
DRAFT REPORTING GUIDELINES

June 30, 2017

California Transportation Commission



NOTE: These Draft Local Streets and Roads Funding Reporting Guidelines are currently under development. This information is provided in draft form, and is subject to further modification and refinement. This draft information does not represent any final determination by the Commission on any of the issues addressed in these draft guidelines.

## State Controller's Role

- Apportion and Audit Funds
- Maintenance of Effort
- Detailed Expenditure Reporting – Local Streets and Road Annual Report
- Guidelines for Gas Tax Expenditures (update TBD)

**Guidelines Relating to  
Gas Tax Expenditures  
For Cities and Counties**



BETTY T. YEE  
California State Controller

August 2015

# Local Streets and Roads Program



**THE ROAD REPAIR AND  
ACCOUNTABILITY ACT OF 2017 -  
LOCAL STREETS AND ROADS FUNDING**

**DRAFT REPORTING GUIDELINES**

*June 30, 2017*

California Transportation Commission



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# Draft Annual Reporting Guidelines

## Basic Annual Project Reporting [SHC Section 2034(a) and (b)]

Cities and Counties are required to submit the following to the CTC:

Annually, prior to receiving funds, a proposed project list which contains the following:

- Proposed project description and location
- Proposed schedule for completion, may include multi-year projects
- Estimated useful life of the improvement
- Projects must be in an adopted/amended city/county budget

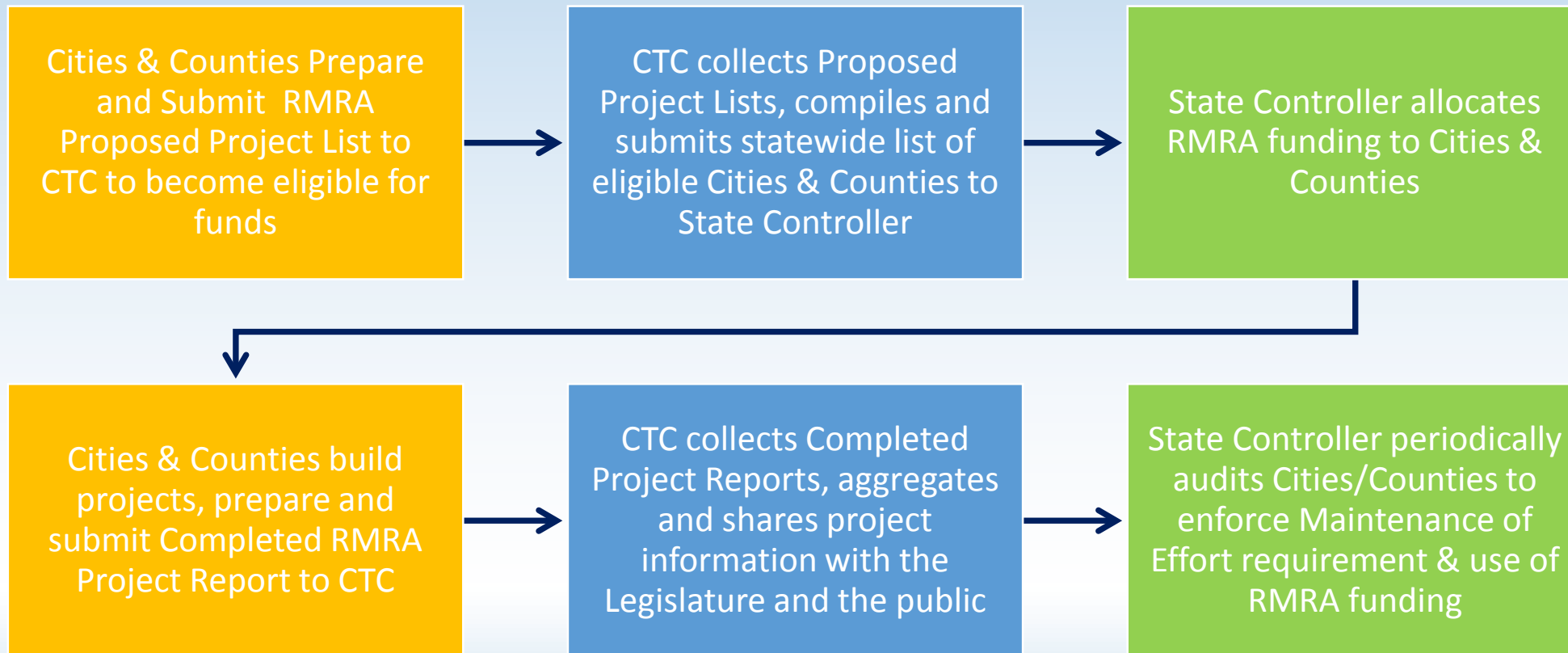
Annually, upon completion of the FY, a project expenditure report which contains the following:

- Completed project description and location
- Completion date
- Amount of funds expended on the project
- Estimated useful life of the improvement
- If applicable, a progress update on multi-year projects



## Program Structure

[SHC Sections 2030, 2034, 2036, 2037]



## Draft Annual Reporting Guidelines

- Worked closely with cities, counties and their representatives (i.e. League of California Cities and the California State Association of Counties)
- Collaborated with the State Controller's Office Divisions of Local Programs and Audits
- [Draft Guidelines](#) released for public comment June 30<sup>th</sup> with comments due July 14<sup>th</sup>.
- Final Guidelines are set for adoption August 16-17, 2017

## Summary of Comments Received

- Adjust project list due dates to better align with city/county budgeting processes
- Clarify that budget amendments are an allowable way to adopt project lists
- Level of detail in adopted budgets and project lists may vary
- Address multi-year projects in the guidelines and forms
- Clarify certain terms such as estimated useful life and project completion
- Provide an online procedure for project reporting
- Consider a one-form format for the Project List and Expenditure Report that is completed in phases
- Consider community input and needs, promote complete streets, safety for all system users, green infrastructure etc.
- Questions and Clarifications on the MOE calculation process, eligible uses and timing of funds etc.

## Areas for Discussion Today

- Level of project detail in adopted/amended budgets
- Program Schedule: FYs 17-18 and 18-19
- Clarification of project reporting terms
- Content and Format of Standard Forms for Project List and Expenditure Report
- Others as requested

## Areas under State Controller Jurisdiction

- Specific Accounting Requirements and Use of Funds
- Maintenance of Effort Calculation and Oversight
- Annual Streets and Roads Report Requirements
- The above items won't be addressed in detail today but Commission staff will share feedback received to date with SCO
- Frequently Asked Questions are under development
- SCO Guidelines Update TBD

### Guidelines Relating to Gas Tax Expenditures For Cities and Counties



BETTY T. YEE  
California State Controller

August 2015

# Draft Guidelines Discussion

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## Next Steps

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# Local Streets and Roads Program



## Revised Schedule

FY 17-18	
Adoption of Final Guidelines Call for Project Lists	August 16-17, 2017
Technical Assistance and Outreach to Cities/Counties	August 18 – <b>October 16, 2017</b>
Project Lists due to Commission	<b>October 16, 2017</b>
Commission Adopts List of Eligible Cities and Counties	<b>December 6-7, 2017</b>
Commission Submits List to Controller	<b>December 6-7, 2017</b>
Controller FY 17-18 Apportionments Begin	<b>Mid-January 2018</b>
Completed Project Report Submitted to Commission for 2017 - 2018 Fiscal Year	October 1, 2018
Commission Posts Statewide LSR Program Accountability Information Online	December 1, 2018
FY 18-19	
Guidelines Update as Needed	TBD
Call for Project Lists	<b>TBD*</b>
Commission Review, Approval & Adoption of List of Eligible Cities and Counties	<b>TBD*</b>
Commission Submits Final List to Controller	July 1, 2018
Controller FY 18-19 Apportionments Begin	Mid-August 2018
Completed Project Report Submitted to Commission for 2018 - 2019 Fiscal Year	October 1, 2019
Commission Posts Statewide LSR Program Accountability Information Online	December 1, 2019

\* Commission staff is working with city and county representatives to develop a schedule for FY 18-19 that accommodates city and county budgeting processes, statutory clarification may be needed in this area.



# Thank you!

## Any Questions?

Questions on the phone? Please email them to: [ctc@dot.ca.gov](mailto:ctc@dot.ca.gov)

Laura Pennebaker  
California Transportation Commission  
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